California Financing Coordinating Committee

2013 Funding Fairs

INFRASTRUCTURE FINANCING
FOR THE 21ST CENTURY

California Financing Coordinating Committee (CFCC)

What is CFCC?

The California Financing Coordinating Committee (CFCC) was formed in 1998 and is made up of seven funding members: five state, two federal. CFCC members facilitate and expedite the completion of various types of infrastructure projects helping customers combine the resources of different agencies. Project information is shared between members so additional resources can be identified. CFCC members conduct free funding fairs statewide each year to educate the public and potential customers about the different member agencies and the financial and technical resources available.

Purpose of CFCC Funding Fairs

CFCC Funding Fairs provide opportunities to obtain information about currently available infrastructure grant, loan and bond financing programs and options. Each attendee receives a copy of all slide presentations and additional useful infrastructure financing material. Funding Fairs also provide an opportunity for attendees to speak directly with program staff about specific projects and issues affecting their community.

Who should attend?

Public works and local government representatives including city managers and planners, economic development and engineering professionals, officials from privately owned facilities, water and irrigation district managers, financial advisors and project consultants.

Eligible Project Types

CFCC Agencies fund the following types of eligible projects such as drinking water, waste water, solid waste, water quality, water supply, water conservation, energy efficiency, flood management, community facilities, streets and highways, and emergency response vehicles.

CFCC Information

Please log on to the CFCC website at www.cfcc.ca.gov for the upcoming 2013 Funding Fair schedule, CFCC Member Directory and general information.

TABLE OF CONTENTS

1. Member Directory..... 5-6

2. Slide Presentations by Agency
   - U.S. Department of Agriculture Rural Development (USDA)..... 8-12
   - California Infrastructure and Economic Development Bank (IBANK)..... 14-16
   - CA Department of Housing and Community Development (HCD)..... 18-21
   - State Water Resources Control Board (SWRCB)..... 23-25
   - CA Department of Public Health (CDPH)..... 27-29
   - U.S. Department of the Interior Bureau of Reclamation (USBR)..... 31-34
   - CA Department of Water Resources (DWR)..... 36-41

3. CFCC Funding Programs by Activity
   - Water..... 43-62
   - Wastewater & Water Recycling..... 63-66
   - Roadways..... 67
   - Community Facility Programs..... 68-69
   - Other Infrastructure Programs..... 70-71

4. Common Funding Inquiry Form..... 73

5. Definitions of Acronyms & Abbreviations..... 75-77

CFCC Workshop Notes:

2013 CFCC MEMBER DIRECTORY

www.cfcc.ca.gov

CALIFORNIA DEPARTMENT OF PUBLIC HEALTH (CDPH)

Drinking Water Technical Programs Branch
1616 Capitol Avenue (MS 7418)
P.O. Box 997377
Sacramento, CA 95899-7377
Phone: (916) 449-5600 / Fax: (916) 449-5656
Web Site:
www.cdph.ca.gov/certlic/drinkingwater/pages/DWPFunding.aspx

Mr. Lance Reese
Section Chief
Infrastructure, Financial and Administration Section
(916) 449-5600
Lance.Reese@cdph.ca.gov

Mr. Dat Tran
Section Chief
Engineering and Technical Assistance Section
(916) 449-5600
Dat.Tran@cdph.ca.gov

Mr. Josh Ziese
Unit Chief
Fiscal Services Unit
(916) 449-5600
Josh.Ziese@cdph.ca.gov

Mr. George Faggella
Staff Environmental Scientist
Scientific and Environmental Services Section
(916) 449-5600
George.Faggella@cdph.ca.gov

DEPARTMENT OF WATER RESOURCES (DWR)

P.O. Box 942836; Sacramento, CA 94236
901 P Street - Bonderson Building; Sac, CA 95814
Phone: (916) 651-9251 / Fax: (916) 651-9607
Web Site: www.water.ca.gov
Email: funding@water.ca.gov

Ms. Tracie Billington
Division of Integrated Regional Water Management
Chief, Financial Assistance Branch
Phone: (916) 651-9226 / Fax: (916) 651-9290
Tracie.Billington@water.ca.gov

Mr. Mahyar (Michael) Sabbaghian
Division of Flood Managment
Acting Chief, Flood Projects Office
Phone: (916) 574-1404
Mahyar.Sabbaghian@water.ca.gov

Ms. Chris McCready
Division of Integrated Regional Water Management
Chief, Regional Planning Branch
Phone: (916) 651-9298
Chris.McCready@water.ca.gov

Ms. Leslie Pierce
Division of Statewide Integrated Water Management
Project Services Office
Phone: (916) 651-9251
Leslie.Pierce@water.ca.gov

Mr. Dennis Woods
Division of Statewide Integrated Water Management
Project Services Office
Phone: (916) 651-9635
Dennis.Woods@water.ca.gov

DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT (HCD)

Community Development Block Grant Program
2020 West El Camino Avenue, Suite 500
Sacramento, CA 95833
Phone: (855) 333-CDBG (2324)
Web Site: http://www.hcd.ca.gov/fa/cdbg/index.html

Mr. Thomas Brandeberry
Section Chief
Phone: (916) 263-1328
thomas.brandeberry@hcd.ca.gov

Mr. Steven Marshall
Program Manager
CDBG – Community Development,
Native American, and Colonia Allocations
Phone: (916) 263-1338
steven.marshall@hcd.ca.gov

Ms. Leticia Johnson
Program Manager
CDBG - Economic Development
Phone: (916) 263-2186
leticia.johnson@hcd.ca.gov

July 2013

CALIFORNIA INFRASTRUCTURE AND ECONOMIC DEVELOPMENT BANK (IBank)

Infrastructure State Revolving Fund (ISRF) Program
P.O. Box 2830; Sacramento, CA 95812-2830
980 9th Street, Suite 900; Sacramento, CA 95814
Phone: (916) 322-1399 / Fax: (916) 322-6314
Web Site: www.ibank.ca.gov
Email: ibank@ibank.ca.gov

Ms. Roma Cristia-Plant
Assistant Executive Director
Phone: (916) 324-8942
roma.cristia@ibank.ca.gov

Mr. Carlos Nakata
ISRF Program Manager
Phone: (916) 322-5217
carlos.nakata@ibank.ca.gov

Mr. Karl Whittington
Staff Loan Officer
Phone: (916) 322-3506
karl.whittington@ibank.ca.gov

Mr. Tad Thomas
Staff Loan Officer
Phone: (916) 322-6647
tad.thomas@ibank.ca.gov

Mr. Steve Grebner
Loan Officer
Phone: (916) 322-6719
steve.grebner@ibank.ca.gov

Ms. Jamie Fuentes
Assistant Loan Officer
Phone: (916) 322-1499
jamie.fuentes@ibank.ca.gov

STATE WATER RESOURCES CONTROL BOARD (SWRCB)

Division of Financial Assistance
1001 I Street - 16th Floor; Sacramento, CA 95814
Phone: (916) 327-9978 / Fax: (916) 341-5707
Web Site: www.waterboards.ca.gov/water_issues/programs/grants_loans/srf
Email: CleanWaterSRF@waterboards.ca.gov

Mr. Christopher Stevens, Chief
Clean Water State Revolving Fund
and Special Programs Section
Phone: (916) 341-5698
cstevens@waterboards.ca.gov

Mr. Robert Pontureri
Wastewater Projects
Clean Water State Revolving Fund
Phone: (916) 341-5828
bpontureri@waterboards.ca.gov

Mr. Dan Newton
Water Recycling Funding Program
Phone: (916) 324-8404
dnewton@waterboards.ca.gov

Ms. Meghan Tosney
Small Community Wastewater
Phone: (916) 341-5729
mgbrown@waterboards.ca.gov

Ms. Conny Mitterhofer
Expanded Use Projects
Clean Water State Revolving Fund
Phone: (916) 341-5720
cmitterhoffer@waterboards.ca.gov

U.S. DEPARTMENT OF THE INTERIOR BUREAU OF RECLAMATION (USBR)

Mid-Pacific Regional Office
Water Conservation Program
2800 Cottage Way, MP-410
Sacramento, CA 95825

Ms. Melissa Crandell
Phone: (916) 978-5208
mcrandell@usbr.gov

US DEPARTMENT OF AGRICULTURE (USDA) Rural Development

430 G Street, Agency 4169
Davis, CA 95616-4169 / Fax: (530) 792-5837
Web Site: www.rurdev.usda.gov/ca

Ms. Janice Waddell
Community Programs Director
Phone: (530) 792-5810
janice.waddell@ca.usda.gov

Mr. David Hartwell
Community Programs Specialist
Phone: (530) 792-5817
dave.hartwell@ca.usda.gov

Ms. Anita Lopez
Community Programs Specialist
Phone: (530) 792-5822
anita.lopez@ca.usda.gov

Mr. Pete Yribarren
Community Development Specialist
Phone: (559) 734-8732 ext.108
pete.yribarren@ca.usda.gov

July 2013

CFCC Workshop Notes:

United States Department of Agriculture
Rural Development

www.rurdev.usda.gov/ca

USDA Rural Development's Mission Area's

Community Programs
Housing Programs
Business-Cooperative Programs

USDA Rural *Development*

Other Utility Programs

- Electric Programs
- Contact: Larry McGraw (505) 892-0353
  -larry.mcgraw@wdc.usda.gov

- Telecommunications including:
  - Broadband
  - Distance Learning - Telemedicine
- Contact: Tammy Laizure (530) 533-4401 ext. 131
  - tammy.laizure@ca.usda.gov

Eligible Areas

Must be outside a city, town or census designated place with populations under 10,000 for Water Programs and 20,000 for Community Facilities.

Eligible Applicants

- Nonprofit Organizations that are broadly based and have significant community support
- Federally Recognized Indian Tribes
- Public Bodies – including cities, counties and special district
- Mutual Water Companies

USDA Rural *Development*

Community Facilities Program

- Direct/Guaranteed Loans & Grants
- Health Clinics, Community Centers, Day Care
- Police / Fire Stations & Vehicles
- Libraries/Book Mobiles
- Food related projects
- Rates & Terms: Useful life of facility or 40 yrs
- Population of 20,000 or less
- Applications- accepted all year

Water and Waste Disposal Loans and Grants

- Water Systems
- Wastewater Systems
- Solid Waste Disposal
- Storm Drainage
- Sanitary Landfills
- Transfer Stations

Water System Improvements

- Supply
  - Groundwater
  - Surface water
- Treatment
- Storage
- Distribution
- Solar

Wastewater System Improvements

- Sewer Collection
- Sewer Treatment
- Effluent Disposal
- Solar

Funding Opportunities

- Water and Waste Disposal Loan and Grant Program
  - Low interest loans useful life of the facility or 40 years
    - Currently 2.125% - 3.50%
  - Grants up to 75% or $1million Maximum
    - Low income communities correcting health or sanitary problems grant priority.
- Special National Programs
  - Colonias Grant 150 miles from Mexican border
  - Native American Grant Set-a-Side $1million Maximum

Eligible Loan and Grant Purposes

- Planning/Engineering/Architectural
- Environmental
- Legal
- Acquire Land and Rights
- Connection Fees

Loan Only Purposes

- Interest
- Initial Operating
- Purchase of Existing Facilities (water/sewer)
- Refinancing

Repayment Ability

Cover
- Operating budget for the enterprise
- New and existing debt
- Debt service Reserve
- Short Lived Asset Reserve
- Some capital improvements

Security for Loans to Tribes

- Promissory Note
- Assignment of Tribal Income

Security for Loans to Public Bodies

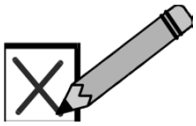
- BONDS
- General obligation bond and/or
- Pledge of taxes or assessment and/or
- Pledge of facility revenue and lien on all land, easements, right-of-way.....

Security for Loans to Non-Profit Organizations

- Promissory note
- Assignment of income
- Deed of trust on all land, easements, right-of-way.....
- UCC financing statement
- Loan resolution/security agreement

Application Process

- Building community support
- Initial Meeting with USDA
- Pre-Application
- Full Application
- Letter of Conditions
- Obligate Funding
- Disbursement of funds after all conditions are met



Application Components

- SF 424
- Intergovernmental Review
- **Preliminary Engineering Report**
- Other Credit Certification
- Supporting Documentation
- **Environmental Report**
- **Operating Budget / First Full Year**
- **Three Years Audited Financials**

Funding Cycle

Federal Fiscal Year -
October 1 - September 30

Applications are accepted continuously through out the year

Contacts

Kevin DeMers (530) 842-6123 ext.112
Siskiyou

Mike Colbert (530) 233-8860
Lassen, Modoc, Plumas, Shasta, Trinity,Tehama

Michael Velez (530) 226-2586
Glenn, Nevada, Placer

Katie Hammond (530) 792-5827
Butte, Sutter, Yolo, Yuba, Colusa

Reef Atwell (707) 526-6797 ext.104
Quinn Donovan (707) 526-6797 ext.105
Alameda, Lake, Marin, Mendocino, Monterey, Napa, San Benito, San Mateo, Santa Clara, Santa Cruz, Del Norte, Humboldt, Sonoma

Doug Colucci (916) 714-1104 ext. 106
Sacramento, Sierra, Solano, El Dorado

Frank Risso (916) 425-4768
Alpine, Amador, Calaveras, Contra Costa, Mono, San Joaquin

Jose Guardado (209) 538-3783 office
(209) 918-5303 cell
Madera, Mariposa, Merced, Stanislaus, Tuolumne

Sally Tripp (559) 276-7494 ext.132
Fresno

Al Correale (805) 928-9269 ext.119
San Luis Obispo, Santa Barbara & Ventura

Lisa Butler (559) 734-8732 ext.103
Tulare & Kings

Teresa Hogan (661) 336-0967 ext.125
Kern, Inyo

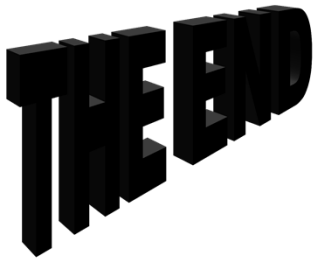
Carrie McLeod (760) 342-4624 ext. 120
Los Angeles, Orange, Riverside, San Bernardino

Daniel Cardona (760) 352-4418 ext.107
Luis Andrade (760) 352-4418 ext.108
Imperial, San Diego

State Office Contacts

Water-Wastewater-Community-Facilities

Dave Hartwell (530) 792-5817
Anita Lopez (530) 792-5822
Pete Yribarren (559) 734-8732 ext.108



CFCC Workshop Notes:

California Infrastructure and Economic Development Bank (I-Bank)

2013 California Financing Coordinating Committee

I-BANK MISSION

We are proud to serve the Governor and the State of California as the State's only general purpose financing authority

Mission:
- ✓ **Finance public infrastructure and private development**
- ✓ **Promote a healthy climate for jobs**
- ✓ **Contribute to a strong economy**
- ✓ **Improve the quality of life in California communities**

A 5-member Board of Directors ***approve*** all bond and loan financings

The I-Bank is comprised of 23 finance, legal and administrative professionals that work closely together to provide timely and responsive ***financial products*** to borrowers

California Infrastructure & Economic Development Bank

I-BANK PROGRAMS

1

Infrastructure State Revolving Fund (ISRF) Program

2

Conduit Revenue Bond Financing Program

California Infrastructure & Economic Development Bank

INFRASTRUCTURE STATE REVOLVING FUND (ISRF) PROGRAM

ISRF Program is a low cost loan program

- ✓ **Below market rate financing for wide variety of public infrastructure**
- ✓ **Promote economic development/attract, create and sustain long-term employment opportunities**
- ✓ **Revolving direct loan program with funding from:**
  - ✓ **Recycled loan repayments**
  - ✓ **Interest earnings on investments**
  - ✓ **Proceeds of tax-exempt bonds issued by the I-Bank**
- ✓ **Since June 2000, the Board has approved over $445 million in loans**

California Infrastructure & Economic Development Bank

ISRF PROGRAM – ELIGIBILITY

Applicants
- **Cities**
- **Counties**
- **Special Districts**
- **Assessment Districts**
- **Joint Powers Authorities**

Projects
- **City Streets**
- **County Highways**
- **State Highways**
- **Drainage**
- **Water Supply And Flood Control**
- **Educational Facilities**
- **Environmental Mitigation**
- **Parks And Recreational Facilities**
- **Port Facilities**
- **Public Transit**
- **Sewage Collection And Treatment**
- **Solid Waste Collection And Disposal**
- **Water Treatment And Distribution**
- **Defense Conversion**
- **Public Safety Facilities**
- **Power and Communications Facilities**

California Infrastructure & Economic Development Bank

ISRF PROGRAM – FINANCING TERMS

Loan Amount
- **$250,000 to $10 million**
- **$10 million annual maximum**
- **$20 million annual per jurisdiction maximum**
- **No match required**

Term
- **Up to 30 years**
- **≤ useful life of the project**

Interest Rate
- **Fixed - 67% of "A" rated tax-exempt bond with a similar weighted average maturity**
- **Current Rates: March 2013**
  2.00% (20 yr.)
  2.34% (30 yr.)

Fees
- **Loan origination fee =**
  0.85% of the loan amount ($10,000 minimum)
- **Annual servicing fee =**
  0.30% of the principal balance

California Infrastructure & Economic Development Bank

ISRF PROGRAM – OTHER ELIGIBILITY

Pledged Repayment Stream

Water/Sewer
Enterprise Fund
- Net system revenue pledge
- Historical debt coverage at 1.10x
- Projected revenue from adopted rate increases included

General Fund
- Abatement lease of an unencumbered essential asset
- Covenant to budget annual lease payments
- Total annual debt service obligations less than 15% of general fund revenues

Other Recurring Revenues

Other Requirements

- Meet minimum point threshold
- Demonstrate project construction start within 6 months of Board financing approval
- Project meets tax-exempt financing criteria per IRS code
- Meet need for financing criteria above $2 million

California Infrastructure & Economic Development Bank

ISRF PROGRAM – OTHER ELIGIBILITY

Need for Financing

- $2 million maximum loan amount for applicants with outstanding debt rated Baa2/BBB or better

Exception: Applicants receiving maximum points for unemployment, median family income, or poverty.

California Infrastructure & Economic Development Bank

ISRF PROGRAM - APPLICATION PROCESS

Continuous Application Process

Two-Steps
1. Preliminary Application
2. Financing Application

Preliminary Application

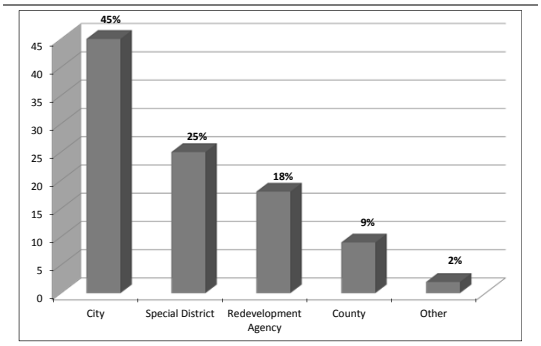
- Short application to assess ability to meet eligibility criteria
- Preliminary Application on website
- 3 years audited financial statements
- All outstanding debt documents

Financing Application

- Complete project description and timeline
- Documentation of the economic development impacts
- CEQA (NEPA not required)
- Current year adopted budget

California Infrastructure & Economic Development Bank

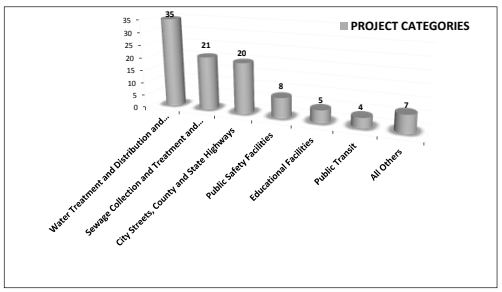
ISRF PROGRAM – BORROWERS



California Infrastructure & Economic Development Bank

As of December 31, 2012

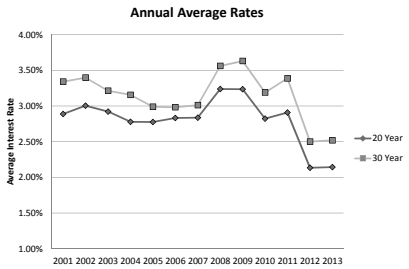
ISRF PROGRAM – PROJECTS FINANCED (by Category)



As of December 31, 2012

California Infrastructure & Economic Development Bank

ISRF PROGRAM - INTEREST RATES



Current Rates—July 2013

2.66% 20 Year

3.17% 30 year

California Infrastructure & Economic Development Bank

CITY OF DAVIS

Water Storage Tank Project

Project Description
- 4 million gallon municipal water storage tank
- 8.6 million gallon pump station
- System piping and transmission mains

Project Financing
- 30 year term / 4.00%
- I-Bank $10,000,000
- City of Davis $ 806,000
- TOTAL $10,806,000

Economic Benefits
- Needed for major retail store in new development aimed at reducing sales tax leakage
- Projected creation of 103 full-time equivalent jobs

California Infrastructure & Economic Development Bank

COUNTY OF KERN

Curb, Gutter, and Drainage Improvements Project

Project Description
- Curb, gutter & drainage improvements for three low and moderate income residential areas
- Health issues posed by run-off and ponding.

Project Financing
- 20 year term / 2.66%
- I-Bank $ 7,200,000
- County of Kern $ 747,847
- TOTAL $ 7,947,847

Economic and Other Benefits
- Poor condition of roads hampered economic development objectives
- Improved neighborhood property values
- Improved public safety for pedestrians and vehicles
- Environmental benefits with less erosion, dust & ponding
- ADA compliance

California Infrastructure & Economic Development Bank

NORTH TAHOE FIRE PROTECTION DISTRICT

Public Safety Center Project

Project Description
- New 20,262 square foot training facilities and emergency operations center
- Drainage and storm water treatment to comply with water quality Best Management Practices

Project Financing
- 29 year term / 3.27%
- I-Bank $10,000,000
- North Tahoe $ 501,960
- TOTAL $10,501,960

Economic Benefits
- Created six additional Firefighter/Paramedics positions
- Housed engine with longer ladder to service multi-story planned development (hotels, ski resorts) waiting permits

California Infrastructure & Economic Development Bank

BOND FINANCING PROGRAMS

CONDUIT REVENUE BOND FINANCING PROGRAMS

I-Bank is a Conduit Bond Issuer of Tax Exempt Revenue Bonds:

- Industrial development bonds (IDB) for qualified manufacturing and processing businesses
- 501(c)(3) bonds for non-profit public benefit corporations
- Bonds for governmental entities

Over $32 billion issued since inception

California Infrastructure & Economic Development Bank

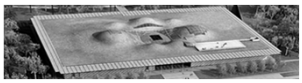
Industrial Development Bond PROJECTS

Betts Spring Company

iWORKS, US

Bonny Doon Winery

Non-Profit 501(c)(3) Bond PROJECTS



California Academy of Sciences

Goodwill Industries

Call Or E-mail Us With Your Questions!

980 9th Street, Suite 900, Sacramento, CA 95814

ibank@ibank.ca.gov / www.ibank.ca.gov
(916) 322-1399

California Infrastructure & Economic Development Bank

CFCC Workshop Notes:

CDBG Infrastructure Financing

State of California
Department of Housing and Community Development

Community Development Block Grant (CDBG)

- **Federally funded grant program run by Dept. of Housing and Urban Development (HUD)**
- **Created by the Housing and Community Development Act of 1974**
- **<u>Grants are given only to cities and counties</u>**
- **State administers "non-entitlement" program & HUD administers "Entitlement" program for large cities and urban counties**

Eligible Activities (state)

- **Federal Statute lists 25 eligible activities**
- **State CDBG groups them into 8 main categories**
- **The first six activities are Community Development (CD) Program only**

1. **Housing Rehabilitation**
2. **Housing Acquisition**
3. **Housing New Construction**
4. **Public Improvements**
5. **Community Facilities**
6. **Public Services**
7. **Economic Development Activities (ED) (3 types)**
8. **Planning and Technical Assistance (ED & CD)**

Three National Objectives (federal)

- **All programs & projects must meet one of the three National Objectives:**

1. **Principally Benefit Low-income Households (housing) or Persons. These are beneficiaries with incomes at or below 80% of county median income**
2. **Mitigate Slums and Blight (area or spot basis) primarily eligible in Economic Development program**
3. **Meet an Urgent Need – rarely used in State CDBG**

Annual Notice of Funding Availability (NOFA)

- **SuperNOFA released January each year. Includes Allocations for:**
- **Community Development**
- **Economic Development -** Enterprise Fund (EF) and Over-The-Counter (OTC)
- **Native American**
- **Colonias**
- **Planning and Technical Assistance**
  - All are Competitive except OTC is first-come-first-serve

Community Development (CD), Native American & Colonias Funding Levels

- **Grants to jurisdictions to assist with meeting local development needs**
- **Three Allocations within SuperNOFA:**
  - **CD Allocation** open to all eligible jurisdictions (largest at approx. $29 million for 2013)
  - **Native American Allocation** only for non-recognized tribes or terminated rancherias (smallest at approx. $500,000 per year)
  - **Colonias Allocation** only for designated communities within 150 miles of border with Mexico ($2 million for 2013)

Community Development Allocation

- Traditionally has funded housing activities, primarily Housing Rehabilitation and Homeownership Assistance programs
- Over the last 10 years more awards have been made to fund Public Improvements and Community Facility projects
- Colonias Allocation can only be used for:
  - Potable Water
  - Sewer
  - Decent and safe Housing

Infrastructure In Support of New Construction Rental Housing

- City of Oroville 2006 CDBG Grant $850K for Off-site Improvements
- Hillview Ridge Apt. 72 low income units
- Funded with HOME, CDBG, tax credits $20 million

Non-Housing Activities

- Public Works & Community Facility Projects must benefit at least 51% Low Income persons in the area served
- To be competitive, projects should benefit a higher percentage of low income persons
- Must also address a health and safety problem

Example of Public Works Project

Installation of Waterline In Placer County

Health Care Community Facility

Before and after photos of Del Norte Healthcare District's Clinic in Crescent City. CDBG and USDA funding for greatly expanded facility providing services to low income families.

Economic Development (ED)

- **Grants to jurisdictions that loan funds to businesses to create jobs**
- **Activities:**
  - Business Assistance
  - Assist Business with Off-site Infrastructure
  - Microenterprise Assistance

Over the Counter (OTC)

- **Large Project Funding for Eligible Activities**
- **Funding**
  - **Typical (approx) $6 million NOFA released in January**
  - **Applications are received on a continuous basis**
  - **Projects up to $3 million (possibly higher if multi-year)**
- **Activities**
  - **Business Assistance**
  - **Off-site Infrastructure in support of business or development**

State CDBG staff recommends applications to HCD's Internal Loan Committee for ED, which can review and approve grant to sponsoring jurisdiction

Example of Economic Development Infrastructure

Public Infrastructure in support of a retail shopping center. I-Bank and CDBG funded infrastructure on Hwy 49 in Placer County for Auburn Plaza.

Planning and Technical Assistance (PTA) NOFA

- **Eligible study activities:**
  - Project Feasibility Study
  - Preparation of Funding Application(s)
  - Project Environmental Review
  - Preliminary Engineering and Architectural Design
  - Business Infrastructure Study
- **Maximum of $100,000 per year, ED or CD, 2 Studies.**
- **ED or CD PTA funds can be used for NEPA preparation. ED funds can also be used for OTC application preparation.**

Program Income Funded Water Project

Eel River Infiltration Gallery for Rio Dell Water System, $100,000 in CDBG program income funds paid for final design and environmental. Other funders included Department of Public Health and USDA.

CDBG Overlay Requirements

- Federal requirements:
  - **Environmental Reviews (NEPA & CEQA)**
  - **Prevailing Wage: Davis-Bacon & Related Acts**
  - **Relocation: Federal & State Laws**
  - **Competitive Procurement of All Goods and Services**
  - **Equal Opportunity**
  - **Citizen Participation – notices, hearings, public information files**
- State CDBG Grant Management Manual available on website

For More Information On CDBG

- **State CDBG Program**
  - **Program Secretary: (855) 333-CDBG (2324)**
  - **Website: http://www.hcd.ca.gov/fa/cdbg/index.html**
  - **Sign up for Email Alerts: http://www.hcd.ca.gov/fa/DFA_Subscriber.html**
- **Entitlement CDBG:**
  - **Contact the community development department of your local government**

Have Questions?

Get details on the Community Development Block Grant (CDBG) Program, Eligible Activities, National Objectives, working with your local jurisdiction, etc.

- SuperNOFA to be released every January:
  - See HCD web site for NOFA and Application process: http://www.hcd.ca.gov/fa/cdbg/index.html
  - Contact CDBG Staff at (855) 333-CDBG or e-mail CDBG@hcd.ca.gov (2324)

CFCC Workshop Notes:

STATE WATER RESOURCES CONTROL BOARD
DIVISION OF FINANCIAL ASSISTANCE (DFA)

Providing Financial Assistance for Projects that Serve the Water Boards' Mission: Preserve, Enhance, and Restore California's Water Resources

http://www.waterboards.ca.gov

Slide No. 1

PRESENTATION TOPICS

- Clean Water State Revolving Fund Program
- Water Recycling Funding Program
- Small Community Wastewater Program
- Other DFA Programs
- Resources

Slide No. 2

CLEAN WATER STATE REVOLVING FUND (CWSRF) PROGRAM

- Low interest financing for water quality projects
- Funding for:
  - Wastewater and Water Recycling Projects
  - Expanded Use (Nonpoint Source) Projects
- Since 1989, funded over 600 projects totaling $6.4 billion
- Financed $785.5 million State Fiscal Year 11/12

Note: Drinking Water State Revolving Fund (DWSRF) Program administered by the California Department of Public Health

Slide No. 3

CWSRF PROGRAM:
WASTEWATER AND WATER RECYCLING
(SECTION 212 of Clean Water Act)

Construction of publicly-owned facilities

- Wastewater treatment
- Local sewers
- Sewer interceptors
- Water reclamation facilities

Slide No. 4

CWSRF PROGRAM:
EXPANDED USE PROJECTS
(SECTIONS 319 & 320 of Clean Water Act)

Expanded Use Projects include, but not limited to:

- Nonpoint source (NPS) projects identified in California's NPS Plan
- Development and implementation of an estuary comprehensive conservation & management plan
- Stormwater reduction and treatment facilities, etc.

Slide No. 5

CWSRF PROGRAM: FINANCING TERMS

- Interest Rate: ½ most recent General Obligation Bond Sale at time of funding commitment (typically 2 - 3%)
- Financing Term: *Standard* - 20 Years (typically)
  Extended Term – 30 Years (Small Disadvantaged Communities and Regionalization Projects)
- Repayment: Annual payments begin 1 year after completion of construction

Slide No. 6

CWSRF PROGRAM: PRINCIPAL FORGIVENESS/GRANTS

	FY 2010	FY 2011	FY 2012	FY 2013
Principal Forgiveness (PF) - Federal Capitalization Grants				
Category 1	$42,648,763	$19,572,892	$5,055,894	$4,047,976
Category 2	$30,099,176	$13,048,594	$3,370,596	$2,698,651
TOTAL PF	$72,747,939	$32,621,486	$8,426,490	$6,746,627
Small Community Grant (SCG) Fund – Assembly Bill 2356				
Category 1 Only	$1 million	$1 million	$12 million	$8 million
TOTAL (PF + SCG)	**$73,747,939**	**$33,621,486**	**$20,426,490**	**$14,746,627**

Category 1 = Small (<20,000 people) Disadvantaged (Median Household Income [MHI] <80% of Statewide MHI) Community (SDAC) with substantial water quality investment (i.e., wastewater rates >1.5% of MHI)
Category 2 = SDAC with wastewater rates <1.5%, Larger DACs, Disadvantaged Area of Larger Community, etc.

Slide No. 7

CWSRF PROGRAM: APPLICATION SUBMITTAL

- CWSRF applications accepted on a continuous basis
- Funds, including principal forgiveness/grants, are committed in readiness-to-proceed order (i.e., upon completion and approval of the application)
- New application package/instructions available as of June 2013
  - One application package used for both Wastewater /Water Recycling Projects, and Expanded Use Projects
  - Electronic submittal via Financial Assistance Application Submittal Tool (FAAST) anticipated later in 2013

Slide No. 8

CWSRF PROGRAM: TYPICAL FUNDING APPROVAL PROCESS

- Initial Financing Agreement
  - Funds committed after complete application is approved
  - Access planning and design disbursements (soft costs)
- Submit Final Bid Package
- Amend Financing Agreement
  - Reflects final project costs based on selected bid
  - Access to construction funds
  - Details eligibility determinations

Slide No. 9

CWSRF PROGRAM: CONTACT

Mr. Robert Pontureri, Senior Engineer

Email: rpontureri@waterboards.ca.gov

Phone: 916.341.5828

Website:
http://www.waterboards.ca.gov/water_issues/programs/grants_loans/srf/index.shtml

Slide No. 10

WATER RECYCLING FUNDING PROGRAM (WRFP)

- To promote use of treated municipal wastewater to augment or offset state/local water supplies:
  - Recycled water treatment,
  - Recycled water distribution, and
  - Groundwater recharge/reclamation
- For publicly-owned facilities, and privately-owned water utilities regulated by the Public Utilities Commission
- Applications accepted on a continuous basis and funded in readiness to proceed order

Slide No. 11

WATER RECYCLING FUNDING PROGRAM (WRFP)

- Grants for planning
  - 50% of eligible costs to max of $75,000
- Low interest loans and limited grants for construction
  - Special provisions for Water Recycling construction projects:
    - Minimum Use Requirements: Initial delivery and total project capacity goals
    - Market Assurances: Mandatory use ordinance or user contracts required
    - Reporting Requirements: Annual Report on recycled water use for five years
  - Projects must be on Competitive Project List to be considered for WRFP construction grant funding
    - To be placed on the CPL please contact the WRFP

Slide No. 12

WRFP CONTACTS

Mr. Dan Newton, Senior Engineer
Email: dnewton@waterboards.ca.gov
Phone: 916.324.8404

Website:
http://www.waterboards.ca.gov/water_issues/programs/grants_loans/water_recycling/

Slide No. 13

SMALL COMMUNITY WASTEWATER: CWSRF PROGRAM MODIFICATIONS

- Process disbursements within 30 days
- Refinance existing debts, when necessary to make proposed project more affordable
- For SDACs with wastewater rates greater than 1.5% of median household income:
  - Extended Term Financing (30 years or life of project)
  - Reduced interest rates as low as 0% (offered on a limited basis)
- Principal Forgiveness/Small Community Grants (Slide 7)
- Planning Financing
  - Eligible "Category 1" planning financing applicants may receive 100% principal forgiveness/grants, not to exceed $500,000

Slide No. 14

SMALL COMMUNITY WASTEWATER: TECHNICAL ASSISTANCE (TA) CONTRACT

- To provide wastewater-related TA for SDACs
- Typically only 20-hours per community is provided
  - Additional time may be approved on a case-by-case basis
- Typical types of assistance:
  - Design and operational solutions
  - Preparation of financial assistance applications
  - Community outreach, awareness, education
  - Fiscal management and accountability
  - Capital improvement planning and asset management
  - Rate setting and the Proposition 218 process

Slide No. 15

SMALL COMMUNITY WASTEWATER: PROGRAM CONTACT

Ms. Meghan Tosney, Senior Engineer
mgbrown@waterboards.ca.gov
(916) 341-5729

Website:
http://www.waterboards.ca.gov/water_issues/programs/grants_loans/small_community_wastewater_grant/

Slide No. 16

EXAMPLES OF OTHER DFA PROGRAMS

- See funding matrices at the back of the CFCC handbook for information about other programs:
  - Federal 319(h) Nonpoint Source Grant Program
  - Proposition 84 Grant Programs:
    - Clean Beaches Initiative (CBI)
    - Storm Water Grant Program (SWGP)
  - Underground Storage Tank Cleanup Fund (USTCF)
- You can also go to the DFA Webpage:
  http://www.waterboards.ca.gov/water_issues/programs/grants_loans/

Slide No. 17

Resources: LYRIS Email Lists

- Subscription form can be accessed at:
  http://www.waterboards.ca.gov/resources/email_subscriptions/swrcb_subscribe.shtml
- Available for many programs, including:
  - CWSRF: "Clean Water State Revolving Fund"
  - WRFP: "Water Recycling Funding Program"
  - SC Wastewater: "Small Communities Program"
  - CBI: "Beach Water Quality Grants"
  - SWGP: "Storm Water Grant Program (Proposition 84)"
  - USTCF: "Underground Storage Tanks Cleanup Fund"

Slide No. 18

CFCC Workshop Notes:

California Department of Public Health

Funding for Public Water Systems

Lance Reese
Pipeline Unit Chief

George Faggella
Capacity Development Coordinator

Division of Drinking Water and Environmental Management

Purpose of CDPH Drinking Water Funding Programs

- To provide funding to eligible public water systems to bring them into compliance with safe drinking water standards.
- Priority is based on risk to public health.
- Each funding program has specific requirements, objectives and/or priorities.

Major Funding Sources

- **Drinking Water State Revolving Fund 1998 (DWSRF)**
  - **Approximately $100M–$150M annually:** This includes ~$70M from USEPA, $17M from State match and $40M from P&I. Low-interest loans and some grants [HSC 116760.10 – 116762.60]
- **Proposition 50 of 2002 (P50)**
  - **Authorized $485M:** Grants (local assistance), State Operations and Match for DWSRF [WC 7950 – 79534]
- **Proposition 84 of 2006 (P84)**
  - **Authorized $300M:** Grants, (local assistance), State Operations and Match for DWSRF as well as $10M for emergency grants [PRC 75020 – 75025]

Prop. 84 Grant Funding

Emergency Grants:

- Public Resources Code Section 75021 –$10M allocated ~ $7M remaining
  - Emergency = sudden unanticipated event such as earthquake, fire, landslide, well collapse; no water!
  - Unique in that we can fund alternative water supply
  - Cap at $250K per project
  - For very small projects, $10K or less, we can authorize by oral agreement
  - New: allocate up to $2 million for interim water supplies for communities exceeding a primary drinking water standard, $50,000 maximum (also $2 million from SWRCB)

Drinking Water State Revolving Fund (DWSRF)

- **Intended to bring eligible public water systems into compliance with safe drinking water standards**
- **US EPA provides the state with a capitalization grant each year**
- **The DWSRF is to be operated to exist in perpetuity**
- **Eligibility:**
  - Provides funding for Public Water Systems; Community Water Systems, and Non-Transient Non-Community Systems – not for profit, typically schools.
  - A legal entity must exist that has authority to enter into contracts and incur debt on behalf of the community to be served and owns the PWS [HSC116760.50]
  - Funds can be used for Feasibility/Planning Studies (up to $500K) or Construction (max grant $3M)

Drinking Water State Revolving Fund (DWSRF)

- **Priorities/Project Ranking:**
  - Annual Intended Use Plan describes priorities
  - 15 categories of eligible projects [A through O]
  - Categories based on health risk:
    - A - Documented waterborne disease outbreaks
    - B - Microbial contamination
    - C - Unfiltered surface water and wells with fecal contamination
    - D - Surface Water Treatment violations, including open reservoirs
    - E - Water outages (includes severe source/transmission deficit)
    - F - Nitrate contamination; chronic Total Coliform Rule violations
    - G - Distributed water exceeds chemical or radiological primary MCL
    - H – Water meters for existing unmetered service connections
  - Funding for construction provided when water system has completed all required preliminary activities (design, environmental review, financial requirements)

Drinking Water State Revolving Fund (DWSRF)

- **Constraints**:
  - DWSRF is a low interest loan program that may provide grants to water systems when needed for affordability
  - Amount of subsidy based on "loan affordability" TCR = Target Consumer Water Rate 1.5% of MHI
  - Funded project must solve the problem with the most cost effective long term solution
  - Funds can be used only for capital costs and cannot be used for O&M
  - 30% of annual federal contribution can be used for grants The remainder must be committed to loans
  - Only Disadvantaged Communities (Publicly Owned & Not for Profit water systems) are eligible for grant funding

Drinking Water State Revolving Fund (DWSRF)

- 2012 Interest Rate for DWSRF loans is 1.7875 %
- Disadvantaged Communities eligible for 0% interest loans
- Statewide MHI for 2013 is $58,724
- Disadvantaged Community: MHI < 80% Statewide MHI
- Severely Disadvantaged Community: MHI <60% Statewide MHI
- Disadvantaged Community can receive up to 80% grant
  - MHI < $46,979 TCR is 1.5%
- Severely Disadvantaged Community can receive up to 100% grant
  - MHI < $35,234 TCR is 1.5%

Drinking Water State Revolving Fund (DWSRF)

Annual MHI	TCR (monthly, water only)
$24,000	up to $30
$30,000	up to $38
$36,000	up to $45
$48,000	up to $60
$55,837	up to $70
$60,000	up to $100
$72,000	up to $120

Drinking Water State Revolving Fund (DWSRF)

- **Planning Project**
  - $500K max / project
  - Must be completed within 18 months
  - 5 year loan term
    - Applicants are given an opportunity to have the planning loan take on the terms of a construction loan if the construction application is submitted within 120 days of the planning project being deemed complete
  - Grants available

Drinking Water State Revolving Fund (DWSRF)

- **Construction Project**
  - 20 year loan term *(up to 30 years for disadvantaged communities if needed for affordability)*
  - $20M max / year / project
  - $30M max / year / entity
    (*These may be waived at the end of year to meet pace*)
  - Eligible Planning, Design, Construction & Application costs are reimbursable
  - Claims for reimbursement
    - 1st claim due within 6 months from of FA execution
    - At least one claim must be submitted each quarter

Drinking Water State Revolving Fund (DWSRF)

- **Financial Review:**
  - Estimated project costs
  - Current water rates
  - Proposed water rates – Prop 218 compliance
  - Current financial status of the PWS
  - Determination of disadvantaged/severely disadvantaged status
  - Eligibility for funding/affordability - Loans and/or grants
  - Fully funded including the ability to operate and maintain adequate financial reserves
  - Required to have Technical, Managerial and Financial Capacity (TMF)
    - Ownership *(facilities, land, and legal entity status)*
  - Special Conditions

How to Enter the Drinking Water Program

- **Universal Pre-Application**
  - **This is the portal into the program**
  - Offered onetime a year (typically in July for a 30 to 60 day period)
  - We are currently working on a new database platform that will allow continuous pre-application acceptance (expected to be in place by May-June 2013)
  - Five point Pre-Application
    - Enter your seven digit CA Water System I.D. #
    - Enter your contact information
    - Enter your problem
    - Enter your proposed solution
    - Enter your estimated project cost

Drinking Water Funding Program

Main line: (916) 449-5600

1616 Capitol Ave (MS 7418)
P.O. Box 997377
Sacramento, CA 95899-7377

Email: dwpfunds@cdph.ca.gov

Website: http://www.cdph.ca.gov/programs/Pages/DWP.aspx

Useful Drinking Water Program Links

- Drinking Water Program District Offices
- http://www.cdph.ca.gov/programs/Documents/DDWEM/OriginalDistrictMapCDPH.pdf

- Safe Drinking Water State Revolving Fund
- http://www.cdph.ca.gov/services/funding/Pages/SRF.aspx

CFCC Workshop Notes:

RECLAMATION

Managing Water in the West

Financial Assistance Opportunities

U.S. Department of the Interior
Bureau of Reclamation

Reclamation's Mission

"Manage, develop, and protect water and related resources in an environmentally and economically sound manner in the interest of the American public."

RECLAMATION

Water Conservation Program

- **Mission is to optimize beneficial use of water resources**
- **Educate customers about the opportunities for and the benefits of water conservation**
- **Cooperate with agencies and other stakeholders to be leaders in conservation.**

RECLAMATION

Conservation Partnerships
Financial and Technical Assistance

- **Reclamation has the responsibility to help improve water management and the efficient use of water in the western United States.**
- **Funding available to irrigation districts and urban water agencies for water management improvements that accelerate the implementation of conservation activities.**

RECLAMATION

Conservation Partnerships
Financial and Technical Assistance

- **Bay-Delta Restoration Water Use Efficiency Grants**
- **WaterSMART Grants**
- **Title XVI**

RECLAMATION

Grant Partnerships

- **Public Law 111-11, Secure Water Act**
  - **Grants not to exceed 50% project's cost and no more than $5 million**
- **Grants available to States, tribes, irrigation and water districts, and other entities with water or power delivery authority**
- **Grant programs are posted on www.grants.gov**
  - **Posted for 45-90 days**
- **Awards reviewed by a technical committee and selected through a competitive process**

RECLAMATION

Water Conservation and Efficiency Grants

- **Projects funded in the past:**
  - **SCADA**
  - **Canal lining/piping**
  - **Groundwater banking**
  - **Leak detection**
  - **Irrigation retrofits**
  - **Appliance rebate programs**

RECLAMATION

San Luis Canal Company

RECLAMATION

Bay-Delta Restoration Water Use Efficiency Grants

Sacramento-San Joaquin Delta

- **Hub of CVP and SWP**
- **Provides drinking water to 2/3 of Californians**
- **750 plant and animal species**
- **Provides water to over 4 million acres of farm land**
- **Supports 80% of California's commercial fisheries**

RECLAMATION

CALFED Water Use Efficiency Grants

- **Accelerate the implementation of cost-effective actions that provide state-wide benefits through water conservation**
- **Can result in significant benefits to the Delta through water quality, water supply reliability, and instream flows**
- **To date, Reclamation has awarded 59 grants resulting in over $53 million being invested into water use efficiency projects statewide**

RECLAMATION

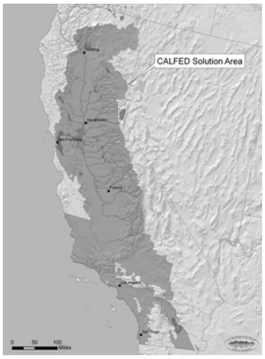
Agricultural Water Conservation and Efficiency Grants

- **Partnership with Natural Resource Conservation Service (NRCS)**
- **Eligible projects include projects that save water, improve water management, create new supplies for agricultural irrigation, improve energy efficiency, and/or benefit endangered species.**
- **Projects should also increase the capability of on-farm water conservation or water use efficiency projects that can be undertaken by farmers through irrigation system improvements.**

RECLAMATION

Bay-Delta Restoration Water Use Efficiency Grants

- **Eligible applicants must be located within the CALFED Solution Area**



RECLAMATION

CALFED Water Use Efficiency Grants

RECLAMATION

WaterSMART Grants

- **Competed Reclamation-wide**
  - **Water and Energy Efficiency Grants**
  - **System Optimization Reviews**
  - **Advanced Water Treatment Pilot and Demonstration Projects**
- **Historically, awards range from $200,000 to $1,500,000**

RECLAMATION

WaterSMART Grants Budget

- **Since 2004, over $120 million in Federal funding has been awarded to projects in 17 Western States resulting in an estimated water savings of 860,000 acre-feet of water annually**

FY 2009 Enacted	FY 2009 Recovery Act	FY 2010 Enacted	FY 2011 Enacted	FY 2012 Enacted
$7 million	$40 million	$18 million	$33 million	$ 18.5 million

RECLAMATION

Pelger Mutual Water Company

RECLAMATION

Advanced Water Treatment Pilot and Demonstration Projects

- New to the WaterSMART Grants Program in 2010
- Accelerates the adoption and use of advanced water treatment technologies to increase water supply
- Encourages pilot and demonstration projects that address the technical, economic, and environmental viability of treating and using brackish groundwater, seawater, impaired waters, or otherwise creating new water supplies

RECLAMATION

Advanced Water Treatment Pilot and Demonstration Projects

Tillman Water Reclamation Plant

Hansen Spreading Grounds

RECLAMATION

WaterSMART – Cooperative Watershed Management Program

- **Provides funding to watershed groups to develop local solutions to water management needs**
- **Purpose:**
  - **Improve water quality and ecological resilience**
  - **Conserve water**
  - **Reduce conflicts**

Title XVI Water Reclamation and Reuse Program

- **Reclamation partners with non-Federal agencies to:**
  - **Identify and investigate opportunities to reclaim and reuse wastewaters and naturally impaired ground and surface waters**
  - **Conduct research for reclamation and reuse, and**
  - **Fund planning studies and construction activities**

RECLAMATION

Title XVI Program

- **Title XVI construction funding is provided to projects specifically authorized by Congress and undertaken by local government entities.**
- **Through Title XVI over $520 million in Federal cost-share has been leveraged with more than $1.7 billion in non-Federal funding since 1992.**
- **For more information, contact David White, dwhite@usbr.gov, 916-978-5074.**

RECLAMATION

Thank you

WaterShare

www.usbr.gov/mp/watershare

Funding Opportunity Announcements
Previously Funded Projects
Performance Measures
Newsletter
Water Management Planning Tools

RECLAMATION

CFCC Workshop Notes:

State of California
Department of Water Resources

Grant Programs

CFCC Funding Fair 2013

Leslie Pierce & Dennis Woods

General Obligation Bonds

- Prop 84 - Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006
- Prop 1E - Disaster Preparedness and Flood Protection Bond Act of 2006
- Prop 50 - Water Security, Clean Drinking Water, Coastal, and Beach Protection Act of 2002
- Prop 204 - Safe, Clean, Reliable Water Supply Act of 1996
- Prop 13 - The Safe Drinking Water, Clean Water, Watershed Protection and Flood Protection Bond of 2000
- Prop 81 – California Safe Drinking Water Bond Law of 1988

Eligible Applicants

- Local public agencies (CWC §10535)
  - Cities
  - Counties
  - Special districts (Reclamation Districts, Flood Control Districts, etc.)
  - Joint powers authorities
  - Political subdivisions of the State
  - Public utilities (Section 216 of Public Utilities Code)
  - Mutual water companies (Section 2725 of Public Utilities Code)
- Additional eligible applicants noted on slides

Desalination Program

Additional Eligible Applicants

- Tribes
- Non-profit (501(c)(3))
- Universities/Colleges
- Federal and State agencies

Eligible Projects

- Brackish groundwater or seawater desalination including,
  - Construction
  - Pilot study or demonstration project
  - Feasibility study
  - Research project

Desalination Program

Prop 50 Funding

- More than $4.5 million
- 50% cost share

Proposal Solicitation Package release in September 2013.

www.water.ca.gov/desalination/2013DesalGrants.cfm

Drainage Reuse Program

Objectives

- Develop methods to reuse subsurface agricultural drainage water

Additional Eligible Applicants

- Universities

Approximately $1.6 million of Prop 204 remaining.

Proposal Solicitation Package release in late August 2013.

www.water.ca.gov/drainage/

Safe Drinking Water - Contaminant Removal Technologies

Eligible Applicants

- Public water systems
- Public entities

Eligible Projects

- Test new technologies for contaminant removal
- Disinfection using ultraviolet and ozone treatment

Safe Drinking Water - Contaminant Removal Technologies

Prop 50 Funding

- $10 million
- 25% of funds for Disadvantaged Communities
- 50% cost share
- No match required for DACs or small water systems
- Grant cap of $5 million

Continuous solicitation based on available funds.

www.water.ca.gov/grantsloans/grants/prop50sdw.cfm

Safe Drinking Water Bond Law of 1988

Eligible Applicants

- Public and private water systems

Eligible Projects

- Improve drinking water so it is pure, wholesome, and drinkable
- Leak detection
- Repair programs

Safe Drinking Water Bond Law of 1988

Prop 81 Funding

- Approximately $6.1 million
- Grants and loans available

Continuous solicitation based on available funds.

Jeremy Callihan, Program Analyst
(916) 653-4763
Jeremy.Callihan@water.ca.gov

Delta and Sacramento/San Joaquin Rivers Water Quality Programs

Additional Eligible Applicants

- Other entities may collaborate with local agency

Delta and Sacramento/ San Joaquin Rivers Water Quality Program

Eligible Projects

- Reduce salinity or other pollutants
- Located at Delta agricultural and drinking water intakes
- Provide public benefit

Delta and Sacramento/ San Joaquin Rivers Water Quality Programs

Prop 84 Funding

- $36.6 million available
- $20 million per grant cap

Proposal Solicitation Package release in Fall 2013.

http://baydeltaoffice.water.ca.gov/sdb/prop84/index_prop84.cfm

Urban Streams Restoration

Objectives

- Reduce property damage from flooding or erosion
- Restore, enhance, or protect the ecological values of streams
- Promote community involvement, education, and stewardship

Eligible Applicants

- Local public agency and citizens group
- Local public agency and non-profit (501(c)(3))

Urban Streams Restoration

Eligible Projects

- Creek cleanups
- Eradication of exotic or invasive plants
- Revegetation efforts
- Channel reconfiguration for natural functions
- Flood management parcel acquisition
- Coordination of community involvement

Urban Streams Restoration

Prop 84 and 13 Funding

- Grant cap of $1 million

Application period expected in Fall 2013.

www.water.ca.gov/urbanstreams/

Flood Control Subventions Program

Eligible Projects

- Implementation of flood control projects
- Outside the Central Valley
- U.S. Army Corps of Engineers
- Natural Resources Conservation Service

Flood Control Subventions Program

Prop 84 & 1E Funding

- 50%-70% reimbursement for eligible costs
- Continuous reimbursement based on available funding

www.water.ca.gov/floodmgmt/fpo/sgb/fcs

Flood Emergency Response Program

Eligible Applicants

- Responsibility for flood emergency response and coordination

Current Solicitation

- Legal Delta - $5 million grant cap (Proposition 1E)
- Competitive grants with no local match

Flood Emergency Response Program

Eligible Projects

- Local emergency plan
- Flood emergency planning and preparedness
- Communication & coordination response process
- Flood information exchange
- Emergency communication equipment

www.water.ca.gov/floodmgmt/hafoo/fob/floodER

Urban Flood Risk Reduction Program

Eligible Projects

- Levee Repair
- Levee Improvement
- Part of the State Plan of Flood Control
- In the Sacramento-San Joaquin Delta
- Protect a Central Valley urban area

Urban Flood Risk Reduction Program

Prop 1E Funding

- $55 million available

Guidelines and Proposal Solicitation Package due Fall 2013.

www.water.ca.gov/floodsafe/

Delta Levees Program – Special Flood Control Projects

Eligible Projects

- Flood protection improvement and habitat restoration projects in the Delta
  - Assembly Bill 360

Delta Levees Program – Special Flood Control Projects

Prop 84 Funding

- Amount unknown

Proposal Solicitation Package due Fall or end of 2013.

www.water.ca.gov/floodsafe/fessro/levees/special_projects/

Local Levee Assistance Program

Eligible Projects

- Local Levee Evaluations
- Local Levee Critical Repairs
- NOT part of the State Plan of Flood Control
- Outside the Sacramento-San Joaquin Delta
- Protect a non urban area

Local Levee Assistance Program

Local Levee Evaluations

- State Cost-Share Cap: $2 million per project
- Prop 84 funds
- Field surveys and geotechnical investigations
- Hydrology and hydraulic analyses
- Lab testing and feasibility studies
- Environmental permitting and CEQA compliance costs

Local Levee Assistance Program

Local Levee Critical Repair

- State Cost-Share Cap: $5 million per project
- Prop 84 funds
- Design, improvement and repair of damaged levees
  - Independent Peer Review
  - Environmental permitting and CEQA compliance costs

Application period in early 2014.

www.water.ca.gov/floodmgmt/fpo/sgb/llap

Integrated Regional Water Management Implementation Grants

Eligible Projects

- Meet long-term State water needs
  - delivery of safe drinking water
  - flood risk reduction
  - protection of water quality and the environment
- Consistent with an adopted Integrated Regional Water Management Plan

Integrated Regional Water Management Implementation Grants

Additional Eligible Applicants

- Non-profits (501(c)(3)) representing an Integrated Regional Water Management effort

Prop 84 Funding

- $472 million for Round 3 Implementation Grants
- 25% minimum cost share (DAC waiver possible)

www.water.ca.gov/irwm/grants/index.cfm

Delta Levees Program – Delta Levee Subventions

Eligible Projects

- Delta Levee System maintenance projects
  - CALFED Record of Decision (2000)
  - Assembly Bill 360

Delta Levees Program – Delta Levee Subventions

Prop 84 & 1E Funding

- Up to 75% State reimbursement for eligible costs

Application period in June 2014.

www.water.ca.gov/floodsafe/fessro/levees/subventions/

Additional Information

DWR Website

- **www.water.ca.gov** (Select Issues then All Funding Topics)

Bond Accountability Website

- **www.bondaccountability.ca.gov**

Questions?

Leslie Pierce, Program Manager I
- (916) 651-9251
- Leslie.Pierce@water.ca.gov

Dennis Woods, AGPA
- (916) 651-9635
- Dennis.Woods@water.ca.gov

CFCC Workshop Notes:

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Proposition 84 Chapter 2 Public Resources Code Section 75022	California Department of Public Health	Grants	Grants for small community drinking water system infrastructure improvements and related actions to meet chemical and nitrate drinking water standards.	Must be a small community water system with a population less than 10,000 or a public school; priority given to disadvantaged communities; must be in noncompliance with a primary standard or treat surface water and be under a boil water order	CEQA	Please call or check CDPH website for more information. http://www.cdph.ca.gov/certlic/drinkingwater/Pages/DWPfunding.aspx		$5 million per project $500,000 for feasibility study	No longer accepting Pre-applications	Noel Gordon (916) 445-7290
Webpage: http://www.cdph.ca.gov/services/funding/Pages/Prop84.aspx										
Safe Drinking Water State Revolving Fund (SDWSRF)	California Department of Public Health	Loans Grants	Provide low interest loans or grants to assist public water systems in achieving or maintaining compliance with the Safe Drinking Water Act (SDWA)	Must be a public water system Project must be needed to comply with the SDWA Project must be on CDPH's project priority list System must meet technical, managerial, and financial requirements All applications are for loans; financial review determines if grant funds apply	CEQA Some projects CEQA/ NEPA	Water treatment facilities, replace aging infrastructure, planning studies, consolidation of water systems, source water protection, etc	Dams or rehab of dams, O&M costs, lab fees for monitoring, projects mainly for fire protection or future growth, etc	$500,000 per planning study $20 million per project and $30 million per entity per cap grant Call program for grant limitations	Pre-application Invited annually Loan: Interest rate is ½ the general obligation rate 2013 program rate is 1.7875%, paid back over 20 years. The rate changes every January Disadvantaged system can obtain a zero interest loan Disadvantaged public and mutual systems may receive partial grant funding	Uyen Trinh-Le (916) 440-7590
Webpage: http://www.cdph.ca.gov/services/funding/Pages/SRF.aspx										

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Infrastructure State Revolving Fund (ISRF) Program	California Infrastructure and Economic Development Bank (I-Bank)	Loan	Provide financing for construction and/or repair of publicly owned water supply, treatment and distribution systems, and drainage, and flood control facilities	Applicant must be a local municipal entity Project must meet tax-exempt financing criteria Project must promote economic development and attracts, creates, and sustains long-term employment opportunities	CEQA	Acquire land; construct and/or repair water collection, supply, and treatment systems, including equipment	Privately owned infrastructure Debt refinancing	$10 million maximum per project per fiscal year $20 million annual maximum per jurisdiction per fiscal year	Interest rate is 67% of Thompson's Municipal Market Index for 'A' rated security Maximum 30 year term Open application process Preliminary Application available at ibank.ca.gov	Carlos Nakata (916) 322-5217
Webpage: http://ibank.ca.gov/infrastructure_loans.htm										
Please Note: All Department of Water Resources (DWR) Funding Programs are listed at the end of the Water Programs table because their list of funding programs is substantially longer than those of the other agencies.										
Community Development Block Grant (CDBG) Program	State Department of Housing and Community Development	Grants to City and County Jurisdictions	Project must principally benefit low income persons/households. For example: do water system upgrades for residents of communities with over 51% of its residents being low income or extend water service to a site for a business that creates jobs for low income persons	Cities or counties that are not under HUD's CDBG entitlement program Jurisdictions can pay for improvements to their own system or give the funds to private or public water providers	NEPA/ CEQA	Pay for project feasibility study, final plans and specs, site acquisition and construction, and grant administration costs Pay for repair or new construction of town's water tank Pay for one-time assessment fees for low income families Pay for installation of private laterals and hook up fees for low income families under our Housing Rehabilitation activity	Maintenance costs Refinancing of existing debt Inquire regarding special restrictions for Native American and Colonia funding	Each CDBG Allocation sets funding award limits In their annual NOFA (Typically up to $1,500,000) Six Types of Activities: 1-Community Development, 2-Economic Development Enterprise Fund, 3-Economic Development Over the Counter, 4- Native American, 5-Colonia and 6-Planning and Technical Assistance	Notices of Funding Availability (NOFAs) scheduled for release in January each year Jurisdiction sets type of financing and terms (grants vs. loans)	Steven Marshall (916) 263-1338 steven.marshall @hcd.ca.gov
Webpage: http://www.hcd.ca.gov/fa/cdbg/index.html										

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Clean Water State Revolving Fund Program, Expanded Use	State Water Resources Control Board	Financing	Provide funding for nonpoint source and estuary projects	Public Agencies and nonprofit organizations	CEQA +	Stormwater treatment and diversion, sediment and erosion control, stream restoration, land acquisition.	Operations and maintenance costs, legal fees.	$50 million per agency per year.	Interest rate is one-half general obligation bond rate. Repayment term of 20 year.	Conny Mitterhofer 916-341-5822
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/srf/index.shtml										
Proposition 84 Stormwater Grant Program	State Water Resources Control Board	Grant	Provides funding for projects that reduce and prevent stormwater contamination of rivers, lakes, and streams	Local public agencies	CEQA	Implement Low Impact Development (LID) and other onsite and regional practices that seek to maintain predevelopment hydrology Comply with stormwater related Total Maximum Daily Load (TMDL) requirements	Operation and maintenance activities	$250K to $3M per project. Requires 20% match (less for Disadvantaged Communities).	Second funding cycle anticipated State Fiscal Year 2013/2014. Applications through FAAST.	Jeffrey Albrecht (916) 341-5717
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/prop84/index.shtml										
Clean Beaches Initiative (CBI) Grant Program	State Water Resources Control Board	Grant	Projects that restore and protect water quality of coastal waters, estuaries, bays, and near shore waters, with an emphasis on projects that reduce bacterial contamination on public beaches.	Public Agencies Local Agencies Non-profits Indian Tribes	CEQA	Planning and implementation projects meeting CBI priorities	Operation and maintenance activities	$150K to $5M Requires match (variable based on project or if benefits a disadvantaged community).	Continuous funding cycle, with intermittent closures to review proposals, until funds are exhausted. Applications through FAAST.	Patricia Leary (916) 341-5167
Webpage: http://www.waterboards.ca.gov/water_issues/programs/beaches/cbi_projects/index.shtml										
319(h) Non-point Source Grant Program	State Water Resources Control Board	Grant	Projects to control non-point source pollution consistent with Total Maximum Daily Loads, or those under development	Public Agencies Local Agencies Non-profits Indian Tribes	CEQA	Planning and implementation projects meeting Regional Water Quality Control Board preferences	Operation and maintenance activities Projects that include activities required under a National Pollutant Discharge Elimination System (NPDES) permit	$4.5 million total, with $75K to $125 K per planning project, and $250K to $750K per implementation project. Requires 25% match unless disadvantaged.	Annual solicitation, with applications through FAAST.	Patricia Leary (916) 341-5167
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/319h/index.shtml										

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
WaterSMART: Water and Energy Efficiency Grants	US Bureau of Reclamation	Grant	The objective of this Funding Opportunity Announcement (FOA) is to invite States, Indian Tribes, irrigation districts, water districts and other organizations with water or power delivery authority to leverage their money and resources by cost sharing with Reclamation on projects that seek to conserve and use water more efficiently, increase the use of renewable energy and improve energy efficiency, benefit endangered and threatened species, facilitate water markets, or carry out other activities to address climate-related impacts on water or prevent any water-related crisis or conflict. Water conservation, use of water markets, and improved efficiency are crucial elements of any plan to address western U.S. water issues. With leveraged water and energy efficiency grants, an important step will be taken towards increasing conservation for a more efficient use of water in the West.	Under P.L. 111-11, Section 9502, an eligible applicant is a State, Indian tribe, irrigation district, water district, or other organization with water or power delivery authority located in the western United States or United States Territories as identified in the Reclamation Act of June 17, 1902, as amended. Applicants must be willing to cost share 50 percent or more of the total project costs.	NEPA	Projects should seek to conserve and use water more efficiently, increase the use of renewable energy, protect endangered and threatened species, facilitate water markets, or carry out other activities to address climate-related impacts on water or prevent any water-related crisis or conflict.	Projects that are considered normal operations, maintenance, and replacement (OM&R) are not eligible. OM&R is described as system improvements that replace or repair existing infrastructure or function without providing increased efficiency or effectiveness of water distribution over the expected life of the improvement. Any projects or project elements that are part of a congressionally authorized Title XVI project of Public Law 102-575, as amended (43 U.S.C. 390h et seq.), are not eligible for funding under this FOA. A project that proposes using Federal funding for purchasing water is not eligible under this FOA. A project that proposes to construct a building is not eligible for Federal funding under this FOA (e.g., a building to house administrative staff or to promote public awareness of water conservation).	Funding will be awarded at one of two levels: **Funding Group I:** Up to $300,000 per agreement for a project up to 2 years. **Funding Group II:** Up to $1,500,000 for an agreement for up to 3 years for a small number of projects.	FOA expected on grants.gov in the Fall.	Dean Marrone (303) 445-3577

Webpage: http://www.usbr.gov/WaterSMART

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
WaterSMART: Advanced Water Treatment Pilot and Demonstration Projects	US Bureau of Reclamation	Grant	The objective of this FOA is to invite States, Indian Tribes, irrigation districts, water districts, and other organizations with water or power delivery authority to leverage their money and resources by cost sharing with Reclamation on pilot and demonstration projects to accelerate the adoption and use of AWT technologies in order to increase water supply and provide for long term water sustainability. Projects funded under this FOA will include pilot and demonstration projects that will address the technical, economic, and environmental viability of treating and using brackish groundwater, seawater, impaired waters, or otherwise creating new water supplies within a specific locale	Under P.L. 111-11, Section 9502, an eligible applicant is a State, Indian tribe, irrigation district, water district, or other organization with water or power delivery authority located in the western United States or United States Territories as identified in the Reclamation Act of June 17, 1902, as amended. Applicants must be willing to cost share 50 percent or more of the total project costs.	NEPA	Emphasis will be directed toward applications for pilot and demonstration projects that address the technical and economic viability of treating and using brackish groundwater, seawater, impaired waters, or otherwise creating new water supplies within a specific locale.	Funding is not available for the construction of a full-scale plant under this opportunity. Projects that are considered normal operations, maintenance, and replacement (OM&R) are not eligible. OM&R is described as system improvements that replace or repair existing infrastructure or function without providing increased efficiency or effectiveness of water distribution over the expected life of the improvement. Any projects or project elements that are part of a congressionally authorized Title XVI project of Public Law 102-575, as amended (43 U.S.C. 390h et seq.), are not eligible for funding under this FOA.	Applicants may seek project awards of up to $600,000 per agreement.	FOA expected on grants.gov in the Fall.	Dean Marrone (303) 445-3577
Webpage: http://www.usbr.gov/WaterSMART										

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
WaterSMART: Grants to Develop Climate Analysis Tools	US Bureau of Reclamation	Grant	The objective of this Funding Opportunity Announcement (FOA) is to invite universities, non-profit research institutions, and organizations with water or power delivery authority to leverage their money and resources by cost sharing with Reclamation on activities designed to enhance the management of water resources, including developing tools to assess the impacts of climate change on water resources.	In accordance with P.L. 111-11, Section 9504(b)(1) of the Secure Water Act, eligible applicants include any university located in the United States; non-profit research institution located in the United States; or organization with water or power delivery authority located in the western United States or Territories (as identified in the Reclamation Act of June 17, 1902, as amended and supplemented. Applicants must be willing to cost share 50 percent or more of the total project costs	NEPA	Projects should seek to develop knowledge, information, and tools that will lead to enhanced long-term water resources planning in the Western United States with respect to future climate. Proposed projects are expected to deliver new capabilities that address information gaps detailed in the joint Reclamation and United Stated Army Corps of Engineers (USACE) Report titled *Addressing Climate Change in Long-Term Water Resources Planning and Management: User Needs for Improving Tools and Information*, Section 3, Table 1.		Applicants may seek project awards of up to $200,000 per agreement.	FOA expected on grants.gov in the Winter.	Dean Marrone (303) 445-3577
Webpage: http://www.usbr.gov/WaterSMART										

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
WaterSMART: System Optimization Review Grants	US Bureau of Reclamation	Grant	The objective of this Funding Opportunity Announcement (FOA) is to invite States, Indian tribes, irrigation districts, water districts, and other organizations with water or power delivery authority to leverage their money and resources by cost sharing with Reclamation to assess the potential for water management improvements and identify specific ways to implement those improvements. System Operation Reviews (SOR) consist of: (1) An assessment of the potential for water management improvements in a system or district <u>and</u> (2) A report identifying specific improvements to increase efficiency, including a plan of action for implementing the recommendations	Under P.L. 111-11, Section 9502, an eligible applicant is a State, Indian tribe, irrigation district, water district, or other organization with water or power delivery authority located in the western United States or United States Territories as identified in the Reclamation Act of June 17, 1902, as amended. Applicants must be willing to cost share 50 percent or more of the total project costs	NEPA	Grants will be awarded to SOR projects that can produce a completed final report, including plans of action to secure water through water conservation, efficiency, and markets SORs are intended to focus on improving efficiency throughout a system, district, river basin, or a portion thereof—not on a single structure such as improving one headgate or lining one ditch. SORs are intended to take a broad look at system-wide efficiency, and they are not focused on single project-specific planning.	SOR funding may not be applied to the cost of design, engineering, or construction of a particular recommended project. Funding for the design, engineering, or construction of a project may be available under a separate FOA for Water and Energy Efficiency grants.	Applicants may seek project awards of up to $300,000 per agreement.	FOA expected on grants.gov in the Fall.	Dean Marrone (303) 445-3577

Webpage: http://www.usbr.gov/WaterSMART

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Bay Delta Restoration Water Use Efficiency (BDWUE) Grants: Agricultural Water Conservation and Efficiency Grants	US Bureau of Reclamation /NRCS	Grant	Program focused on the restoration of the Delta's fragile ecosystem while improving water supply reliability for agricultural water users. Accelerate the implementation of cost-effective actions that provide state-wide benefits through water conservation.	In accordance with Public Law 111-11, any eligible applicants are State, Indian tribe, irrigation district, water districts, or other organization with water or power delivery authority. Applicants must also be located in the CALFED solution area as identified in the 1999 CALFED Programmatic Environmental Impact Statement/ Environmental Impact Report.	NEPA	Projects should seek to conserve and use water more efficiently, and result in quantifiable and sustained water savings or improve water management.	Projects that are considered normal operations, maintenance, and replacement (OM&R) are not eligible. Any projects or project elements that are part of a Congressionally authorized Title XVI Water Recycling and Reuse project of Public Law 102-575, as amended (43 U.S.C. 390h et seq.), are not eligible for funding under this program.	Reclamation's share of any one proposed project shall not exceed $500,000 or 50 percent of the total project costs. Multiple applications from one entity for different projects may be submitted for consideration under this FOA. In addition, applicants may apply for funding of projects eligible under the other BDRWUE FOA. *However, no more than $1,000,000 in FY 2012 BDRWUE Grant funding will be awarded to any one entity.*	FOA expected on grants.gov in the Fall.	Melissa Crandell (916) 978-5208

Webpage: www.usbr.gov/mp/watershare/index.html

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Bay Delta Restoration Water Use Efficiency (BDWUE) Grants: CALFED Water Use Efficiency Grant Program	US Bureau of Reclamation	Grant	The objective of the CALFED grant program is to promote the goals/objectives and missions of CALFED. These goals (objectives) include but are not limited to: Goal 1: Reduce existing irrecoverable losses, increasing the overall volume of available water; Goal 2: Achieve multiple state-wide benefits; Goal 3: Preserve local flexibility; and Goal 4: Build on existing water use efficiency programs.	In accordance with Public Law 111-11, any eligible applicants are State, Indian tribe, irrigation district, water districts, or other organization with water or power delivery authority. Applicants must also be located in the CALFED solution area as identified in the 1999 CALFED Programmatic Environmental Impact Statement/ Environmental Impact Report.	NEPA	The CALFED Water Use Efficiency Grant Program will fund urban and agricultural projects. Proposals may include any type of implementation, demonstration, or pilot water use efficiency or conservation projects that have benefits to the California Bay-Delta.	Projects that only consist of research or feasibility studies, planning or education will not be funded. Water recycling projects are not eligible for funding under this program.	Reclamation's share of any one proposed project shall not exceed $500,000 or 50 percent of the total project costs. Multiple applications from one entity for different projects may be submitted for consideration under this FOA. In addition, applicants may apply for funding of projects eligible under the other BDRWUE FOA. *However, no more than $1,000,000 in FY 2012 BDRWUE Grant funding will be awarded to any one entity.*	FOA expected on grants.gov in the Fall.	Laurie Sharp (916) 978-5232
Webpage: www.usbr.gov/mp/watershare/index.html										

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Water and Waste Disposal program	USDA Rural Development	Loan/ Grant	Provide loans and grants to develop and rehabilitate community water systems	Public bodies, Tribes, Nonprofits ,Cities, Towns and census designated places with populations less than 10,000	NEPA/ CEQA	Funds may be used for costs associated with planning, design, and construction of new or existing systems Eligible projects include storage, distribution, source development	Facilities not modest in size, design, and cost For profit systems	None, but average project size is $3-5 million	Loans: 1.875% - 3.125% fixed, 40 years Grant funding available to reduce user costs Continuous filing	Dave Hartwell USDA State Office (530) 792-5817
Water and Waste Disposal Colonias Grant	USDA Rural Development	Grant	Help especially needy communities within 150 miles of the Mexico Border. Pay for all or part of the costs to provide safe drinking water facilities for the residents	Designated "Colonias" within 150 miles of US-Mexico Border	NEPA/ CEQA	Residents to be served must face significant health risks due to the fact that a significant proportion of the community's residents do not have access to, or are not served by, adequate, affordable, water disposal systems	Facilities not modest in size, design, and cost For profit systems	Average project cost $1 million	100% grant, subject to availability Continuous filing	Dave Hartwell USDA State Office (530) 792-5817
Water and Waste Disposal	USDA Rural Development	USDA guarantees loans made by banks	Provide additional security for commercial lenders that finance community water, systems	Banks and other commercial lenders are eligible applicants Cities, Towns, Public bodies ,census designated place, with populations less than 10,000	NEPA/ CEQA	Funds may be for costs associated with Planning, design, and construction of new or existing systems Eligible projects include water, storage, distribution, and source development	Facilities not modest in size, design, and cost Privately owned infrastructure	None	Negotiated between business and lender Fixed and variable rates allowed Continuous filing	Dave Hartwell USDA State Office (530) 792-5817

Webpage: http://www.rurdev.usda.gov/ca

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Rural Energy for America Program	USDA – Rural Development	Grants and loan guarantees	To provide assistance for energy audits and renewable energy development	Must be either an agriculture producer or rural small business. Units of State, tribal or local government; land-grant colleges, universities.	NEPA	Commercially available technologies. Limited to: Bio-energy from Biomass, including anaerobic digester; Geothermal, elect generation, Hydrogen, Solar, photovoltaic and thermal; Wind; Micro-hydro; Ocean; E85 and Biodiesel Blender Pumps.	Research and Development, demonstration projects, provision of power to residents.	Renewable Energy Systems (RES) and Energy Efficiency Improvement (EEI) grants cover 25% of project cost, not to exceed $500,000 for RES and $250,000 for EEI projects. Loan Guarantees up to $25 million. Feasibility Study grants up to $50,000. EA/REDA grants max $100,000.	RES, EEI and feasibility study Grants require 75% match. Loan Guarantee between 60 and 80% of loan.	Steven Nichols (530) 792-5805
Webpage: http://www.rurdev.usda.gov/BCP_ReapEaReda.html										
Proposition 1E Urban Flood Risk Reduction Program	Department of Water Resources	Grant	Levee repair projects and levee improvement projects	Eligible applicants are local public agencies or Joint Powers Authority	CEQA	Project must be 1) part of the State Plan of Flood Control, 2) located in the Sacramento-San Joaquin Delta and 3) protecting an urban area (pop. > 10,000) in Central Valley	Projects that are not part of the State Plan of Flood Control and are not in the Sacramento-San Joaquin Delta. Check website for State Plan of Flood Control information http://www.water.ca.gov/cvfmp/docs/SPFCDescriptiveDocumentNov2010.pdf	Approx. $55 million available	Guidelines and Project Solicitation Package due Fall 2013. Check website http://www.water.ca.gov/floodsafe/ for updates.	Kelly Fucciolo (916) 574-0918

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Propositions 1E and 84 Flood Emergency Response Program	Department of Water Resources	Grant	To improve local flood emergency response	Public agencies with primary responsibility for Flood Emergency Response & Coordination, Counties & Cities, Reclamation Districts, Flood Control Districts, Local Maintaining Agencies	Some projects may need CEQA	Preparing or updating local emergency plan; Coordinating flood emergency planning and preparedness (including training & exercise); Developing communication & coordination response process; Collecting & exchange of flood information; Purchase & installing equipment for interoperable emergency communication; See guidelines for complete list	Projects not included in guidelines.	$5 million for Delta for Prop 1E. $5 million for Statewide (outside Delta) for Prop 84.	Two solicitations based on geography: 1) Statewide (Outside legal Delta -Proposition 84) and 2) Legal Delta (Proposition 1E) Competitive grants with no local match. Check website for updates: http://www.water.ca.gov/floodmgmt/hafoo/fob/floodER	Pat Clark (916) 574-1249
Propositions 1E and 84 Flood Control Subventions Program	Department of Water Resources	Grant (Claims Reimb.)	Implementation of federally-authorized flood control projects (minor or major) and Watershed Protection Flood Prevention Projects	Local public agencies	CEQA/ NEPA	Major flood control projects authorized by Congress; small flood control projects authorized by PL 80-858 and the U.S. Army Chief of Engineers; and watershed protection projects, which include projects authorized by the Administrator of the Natural Resources Conservation Service	Flood control projects without federal authorization	Variable state cost-share percentage based on multi-purpose objectives for projects, ranging from a minimum of 50% to a maximum of 70%	Claim submittals accepted on continuous basis Claims paid based on available State funding Check website http://www.water.ca.gov/floodsafe/ for updates	Nahideh Madankar (916) 574-1459
Proposition 13 Agricultural Water Conservation Program	Department of Water Resources	Loan	To finance feasible, cost effective agricultural water conservation projects or agricultural programs to improve water use efficiency and to finance feasibility studies for such projects	Local public agencies or incorporated mutual water companies	CEQA	Construction or other capital outlays, including but not limited to canal or ditch piping or lining projects, automating canal structures, water distribution system control improvements, tailwater recovery projects, purchasing and installing water measurement devices, and replacement of leaking distribution system components	General purpose equipment, equipment or materials for operations and maintenance, wellhead rehabilitation, expanded tank storage, water supply, water treatment, water recycling, wastewater treatment, flood control, conjunctive use, and groundwater banking projects	$5 million cap per eligible project	Interest rate is ½ the State's rate on the most recent sale of general obligation bonds Repayment up to 20 years Check website www.water.ca.gov/wateruseefficiency/finance/ for updates.	Fethi Benjemaa (916) 651-7025

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Proposition 50 (Chapter 6, Section 79545(a)) Desalination Program	Department of Water Resources	Grant	Development of local water supplies through brackish water and sea water desalination	Local agencies, Tribes, non-profit organizations, universities/colleges, Federal agencies	CEQA	Brackish groundwater or seawater projects, including construction for implementation, feasibility studies, pilot or demonstration projects, and research projects		At least $4.5 million is available.	Application period in fall 2013. Check website http://www.water.ca.gov/desalination/ for updates.	Rich Mills (916) 651-0715
Proposition 50 (Chapter 6 Section 79545(b)) Pilot and Demonstration Projects for Contaminant Removal Technologies	Department of Water Resources	Grant	Pilot and Demonstration Projects for Contaminant Removal Technologies	Eligible applicants are public water systems under the regulatory jurisdiction of CDPH and other public entities	CEQA	Pilot and demonstration projects for contaminants such as: • Petroleum products, Nitrosodimethylamine, • Perchlorate; • Radionuclides, • Pesticides, • Herbicides, • Heavy metals, • Pharmaceuticals, and Endocrine disrupters	A Proven/Existing contaminant removal technology method. (Studies must use new technologies) Grant Funds cannot be used for the operation and maintenance after pilot study is complete.	Up to $5 million per grant Non-State cost share required. Designated funds are being held for Disadvantaged Communities No match required for disadvantaged communities or small water systems (public water system serving less than or equal to 1,000 service connections or less than or equal to 3,300 population)	Continuous solicitation. Check website http://www.water.ca.gov/nav/nav.cfm?loc=t&id=103 for updates.	Steve Giambrone (916) 653-9722

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Proposition 50 (Chapter 6 Section 79545(c)) Ultraviolet and Ozone Treatment	Department of Water Resources	Grant	Drinking water disinfecting projects using Ultra Violet technology and ozone treatment	Eligible applicants are public water systems under the regulatory jurisdiction of CDPH and other public entities	CEQA	Systems that have a maximum contaminant level compliance violation, surface water treatment microbial requirements, or mandatory disinfection required by the CDPH or local agency Systems must demonstrate the ability to operate and maintain treatment facility Ozone projects must be designed to minimize residual byproducts	Projects that do not meet technical, managerial, and financial capacity requirements.	Up to $5 million per grant Non-State cost share required Designated funds are being held for Disadvantaged Communities No match required for disadvantaged communities or small water systems	Continuous solicitation. Check website http://www.water.ca.gov/nav/nav.cfm?loc=t&id=103 for updates.	Steve Giambrone (916) 653-9722
Prop 81 - California Safe Drinking Water Bond Law of 1988	Department of Water Resources	Grant/Loan	Projects that help meet the Safe Drinking Water Standards.	Private Systems: Any person, partnership, corporation, association, or other entity or political subdivision of the state which owns or operates a domestic water system. Public Agencies: Any city, county, city and county, district, joint powers authority, or other political subdivision of the state which owns or operates a domestic water system.		Planning projects that investigate and identify alternatives for system improvements. Eligible projects will be those which improve the applicant's drinking water to make sure it is pure, wholesome, and drinkable. These improvements may include, but need not be limited to, leak detection and repair programs	Please contact program staff for project eligibility.	Please contact program staff for funding limits.	Ongoing application cycle	Linda Ng (916) 653-9634 Jeremy Callihan (916) 653-4763

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Proposition 84 Delta and San Joaquin and Sacramento Rivers Water Quality Grant Program	Department of Water Resources	Grant	Water quality improvement projects	Local agencies Other entities, including universities and non-profit organizations, may collaborate with a local agency to perform work with the grant funds	CEQA	Eligible projects include those at Franks Tract and other Delta projects that reduce salinity or other pollutants at agricultural and drinking water intakes.	Projects that do not show direct protection of drinking water supplies	$20 million	$36.6 million available. Final Guidelines and first proposal solicitation package released in July, 2010. Proposal solicitation package anticipated Fall 2013. Check websites: http://baydeltaoffice.water.ca.gov/sdb/prop84/index_prop84.cfm for updates.	Genevieve Schrader (916) 653-2118
Proposition 84 Delta Levee Subventions, 75033 (Delta Levees Program)	Department of Water Resources	Grant (Claims Reimb.)	Maintain and rehabilitate non-project and eligible project levees in the Delta	Local levee maintaining agencies and Reclamation Districts Levees not part of the State-Federal Flood Control System Levees located within the Delta	CEQA	Delta Levee System maintenance projects that meet goals of the CALFED Record of Decision (August 2000)	Projects that do not meet requirements for environmental mitigation (AB 360) and agricultural irrigation or drainage projects	Up to 75% State reimbursement for eligible costs, pursuant to executed funding agreement	New solicitation package and tracking system released in June 2013. Claim submittals accepted on annual basis, pursuant to executed funding agreement. Claims paid based on available State funding Next application period is June 2014. Check website http://www.water.ca.gov/floodsafe/fessro/ for updates	Sandi Maxwell (916) 651-7009

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Proposition 84 (Chapter 2, §75026) Integrated Regional Water Management (IRWM)	Department of Water Resources	Grant	Projects to assist local public agencies to meet long-term water management needs of the State, including the delivery of safe drinking water, flood risk reduction, and protection of water quality and the environment. Grant funds for Implementation of projects in IRWM Plans	Applicant must be a local public agency or nonprofit representing an accepted IRWM Region Other IRWM partners may access funds through their own agreements with the applicant/grantee	CEQA	Projects that implement IRWM Plans	Operation and maintenance activities	Bond funding allocation for entire program is $1 billion. Prop 84 allots grant funding to 11 funding areas. Guidelines contain information on how potential funding of multiple IRWM efforts within a funding area will occur and maximum grant amount per funding area. Guidelines have been combined with Prop 1E SWFM funding. Each Proposal Solicitation Package will have predetermined amount of funds available.	Guidelines and Round 2 Implementation PSP released November 2012. Round 2 Implementation grant applications due March 2013. 25% minimum cost share with waivers for DACs Round 3 expected in Fall 2014. Check website www.water.ca.gov/irwm/grants/ for updated status	Zaffar Eusuff (916) 651-9266
Proposition 84 Local Levee Assistance Program	Department of Water Resources	Grant	Local Levee Evaluation Projects (LOLE) - Evaluate levees or other flood control structures Local Levee Critical Repair Projects (LLCR) – Design, repair and improve damaged levees or other flood control structures	Local public agencies Levees or other flood control structures that are not part of the State Plan of Flood Control Levees or other flood control structures located outside of the Sacramento-San Joaquin Delta Levees or other flood control structures that protect a non-urban area (pop. < 10,000) in the Central Valley	CEQA	LOLE - Evaluation of levees or other flood control structures; field surveys, geotechnical investigations, hydrology and hydraulic analysis, feasibility studies, environmental documentation and reporting LLCR – Design, repair or improvement of levees or other flood control structures; costs for environmental permits and CEQA compliance, and costs of conducting independent peer reviews	LOLE - Evaluation of levees or other flood control structures that are part of the State Plan of Flood Control for the Central Valley or located within the Sacramento-San Joaquin Delta LLCR – Design, repair or improvement of levees or other flood control structures that are part of the State Plan of Flood Control for the Central Valley or located within the Sacramento-San Joaquin Delta	LOLE - $2 million per applicant; LLCR - $5 million per applicant	Guidelines released in 2011. Application period in early 2014. Check website: http://www.water.ca.gov/floodmgmt/fpo/sgb/llap/ for updates	David Wright (916) 574-1191

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Proposition 84 Special Flood Control Projects 75033 (Delta Levees Program)	Department of Water Resources	Grant (Claims Reimb.)	Improvement and enhancement of the Delta levee system and habitat restoration in the Delta	Local levee maintaining agencies and Reclamation Districts Projects located within the Delta	CEQA	Flood protection improvement projects and habitat restoration in the Delta	Projects that do not meet requirements for environmental mitigation (AB 360) and agricultural irrigation or drainage projects	Up to 100% State reimbursement for eligible costs, pursuant to executed funding agreement	New solicitation package may be released in Fall or late 2013. Claim submittals accepted on monthly basis based on project expenditures, with executed funding agreement Check website http://www.water.ca.gov/floodsafe/fessro/levees/special_projects/ for updates	Jon Wright (916) 651-7010 or Andrea Lobato (916) 651-9295
Proposition 84 Urban Streams Restoration Program	Department of Water Resources	Grant	Reduce urban flooding and erosion, restore environmental values, and promote stewardship of urban streams	Local government agencies and citizens groups/nonprofits (together)	CEQA	Examples include creek cleanups; eradication of exotic or invasive plants; revegetation efforts; bioengineering bank stabilization projects; channel reconfiguration to improve stream geomorphology and aquatic habitat functions; acquisition of parcels critical for flood management; and coordination of community involvement in projects	Include, but not limited to, exclusively educational or fish and wildlife enhancement projects; lake or reservoir enhancements; planning only projects; and mitigation for development or other projects	$1 million per eligible project	Next grant application solicitation anticipated in Fall 2013. Check website http://www.water.ca.gov/urbanstreams/ for updates on the next funding cycle	Amy Young (916) 651-9626
Proposition 204 Drainage Reuse Program	Department of Water Resources	Grant	Drainage reuse studies	Public agencies	CEQA	Research and technical study projects to develop methods to reuse subsurface agricultural drainage water		$200,000 per project	Guidelines and Proposal Solicitation Package release August 2013. Applications due November 2013. Check website www.water.ca.gov/drainage/ for updated status.	Jose Faria (559) 230-3339

WASTEWATER & WATER RECYCLING PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Infrastructure State Revolving Fund (ISRF) Program	California Infrastructure and Economic Development Bank (I-Bank)	Loan	Provide financing for construction and/or repair of publicly owned wastewater collection and treatment systems	Applicant must be a local municipal entity Project must meet tax-exempt financing criteria Project must promote economic development and attract, create, and sustain long-term employment opportunities	CEQA	Acquire land, construct and/or repair collection system and treatment facilities, including equipment	Privately owned infrastructure Debt refinancing	$10 million maximum per project per fiscal year $20 million maximum per jurisdiction per fiscal year	Interest rate is 67% of Thompson's Municipal Market Index for 'A' rated security Maximum 30 year term Open application process Preliminary Application available at ibank.ca.gov	Carlos Nakata (916) 322-5217
Webpage: http://ibank.ca.gov/infrastructure_loans.htm										
Community Development Block Grant (CDBG) Program	State Department of Housing and Community Development	Grants to City and County Jurisdictions	Project must "principally" benefit low income persons/households For example: Make upgrades to a sewage collection and treatment system for residents of a community with over 51% of its residents being low income	Cities or counties that are not under HUD's CDBG entitlement program Jurisdictions can pay for their own system or give the funds to private or public systems	NEPA/ CEQA	Pay for project feasibility study, final plans and specs, site acquisition and construction, and grant administration costs Rehabilitate or construct sewer lines or sewer lift station Pay for one-time assessment fees for low income families Pay for installation of private laterals and hook up fees for low income families under our Housing Rehabilitation activity	Maintenance costs Refinancing existing debt	Each CDBG Allocation sets Funding award limits In their annual NOFA (Typically $1,500,000) Six Types of Activities: 1-Community Development, 2-Economic Development Enterprise Fund, 3-Economic Development Over the Counter, 4- Native American, 5-Colonia and 6-Planning and Technical Assistance	Notices of Funding Availability (NOFAs) scheduled for release January each year. Jurisdiction sets type of financing and terms (grants vs. loans)	Steven Marshall (916) 263-1338 steven.marshall @hcd.ca.gov
Webpage: http://www.hcd.ca.gov/fa/cdbg/index.html										

WASTEWATER & WATER RECYCLING PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Clean Water State Revolving Fund (CWSRF) Program: Wastewater Treatment Projects	State Water Resources Control Board	Financing* *Limited amount of principal forgiveness available to disadvantaged communities	Provide low interest financing for wastewater treatment facilities	Municipality	CEQA+	Collection and treatment facilities or systems including eligible reserve capacity, process control systems, onsite solids handling; allowance costs (planning, design, construction management); and mitigation measures mandated by state and/or federal agencies.	Land, O&M, change orders, decorative items, construction or improvements on private property	$50 million per agency per year	Interest rate is ½ of the latest general obligation bond rate (may be reduced to as low as 0% for certain SDACs) Repayment term of 20 years (may be extended to 30 years for certain SDACs)	Bob Pontureri (916) 341-5828 Small Disadvantaged Community Wastewater Projects: Meghan Tosney (916) 341-5729
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/srf/index.shtml										
Water Recycling Funding through the CWSRF Program	State Water Resources Control Board	Financing	Provide funding for water recycling projects	Municipal wastewater reclamation only	CEQA+	Construction of water recycling distribution, storage, pumping and treatment facilities.	Land, O&M, change orders, decorative items	$50 million per agency per year	Interest rate is ½ of the general obligation bond Repayment term of 20 years	Dan Newton (916) 324-8404
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/srf/index.shtml										
Water Recycling Funding Program – Construction Loan Program	State Water Resources Control Board	Financing* *Limited funds available as accumulated from Prop. 13 loan repayments.	Provide funding for construction of water recycling distribution, storage, pumping and treatment facilities.	Recycling of municipal wastewater or reclamation of groundwater unusable due to human activities	CEQA	Construction of water recycling distribution, storage, pumping and treatment facilities.	Planning costs, land, easements, O&M, legal costs, on-site retrofits	100% of eligible construction costs. Cap: Determined by funding source	Continuous application process Interest rate is ½ of the general obligation bond Repayment term of 20 years	Dan Newton (916) 324-8404
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/water_recycling/index.shtml										

WASTEWATER & WATER RECYCLING PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Water Recycling Funding Program – Facilities Planning Grant Program	State Water Resources Control Board	Grant	Funding for facilities planning studies to determine the feasibility of using recycled water to offset the use of fresh/potable water from state and/or local supplies.	Only public agencies are eligible to receive a facilities planning grant.	Not Applicable	Preparation of a complete facilities planning report, including a market assessment, alternative analysis, development of user assurances and preparation of a construction financing plan.	Pollution control studies, in which water recycling is an alternative, are not eligible.	50 percent of eligible costs up to $75,000.	Continuous Application Process.	Dan Newton (916) 324-8404
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/water_recycling/index.shtml										
Water Recycling Funding Program – Construction Grant Program	State Water Resources Control Board	Grant* *Very limited funds available as accumulated from Prop. 13 loan repayments and Prop. 50 appropriations.	Provide funding for construction of water recycling distribution, storage, pumping and treatment facilities.	Recycling of municipal wastewater or reclamation of groundwater unusable due to human activities	CEQA	Construction of water recycling distribution, storage, pumping and treatment facilities.	Planning costs, land, easements, O&M, legal costs, on-site retrofits	Construction grants are limited to 25 percent of the eligible construction cost or $5 million whichever is less. Funding is limited.	Continuous Application Process. Must be on Competitive Project List (CPL)	Dan Newton (916) 324-8404
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/water_recycling/index.shtml										
Seawater Intrusion Control Loan Program	State Water Resources Control Board	Financing	Provide construction loans to projects that prevent the destruction of groundwater quality due to seawater intrusion.	City, county, district, joint powers authority, or other political subdivision of the state involved in water management.	CEQA	Eligible projects may include, but are not limited to, water conservation, freshwater well injection, and substitution of groundwater pumping from local surface supplies. Construction of distribution, storage, pumping, injection wells and treatment facilities.	O&M, legal costs, land, change orders and claims	Eligible projects funded on a first-come-first-served basis. The date of the Division's approval of a complete application will determine the order.	Interest rate is ½ of the general obligation bond Repayment term of 20 years	Dan Newton (916) 324-8404
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/swic.shtml										
Water and Waste Disposal	USDA Rural Development	Loan/ Grant	Provide financing for , wastewater, solid waste and storm drainage systems both new and existing projects	Public bodies, tribes, nonprofits, Cities and Towns and census designated places with populations less than 10,000	NEPA/ CEQA	Funds may be used for costs associated with planning, design, and construction of new or existing systems Eligible projects include treatment, collection, storm drainage	Facilities not modest in size, design, and cost For profit systems	None, but average project size $3-5 million	Loans:1.875% -3.125% fixed 40 years Grant funding available to reduce user costs Continuous filing	Dave Hartwell USDA State Office (530) 792-5817

WASTEWATER & WATER RECYCLING PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Water and Waste Disposal Colonias Grant	USDA Rural Development	Grant	Provide funding to help especially needy communities near the US-Mexico Border pay for all or part of the costs to provide waste disposal and storm drain facilities	Eligible applicants are designated "Colonias" within 150 miles of US-Mexico Border Cities, Towns, Public bodies, census designated place ,with populations less than 10,000	NEPA/ CEQA	Residents to be served must face significant health risks due to the fact that a significant proportion of the community's residents do not have access to, or are not served by, adequate, waste disposal	Facilities not modest in size, design, and cost For profit systems	Average project cost $1 million	100% grant, subject to availability Continuous filing	Dave Hartwell USDA State Office (530) 792-5817
Water and Waste Disposal	USDA Rural Development	USDA guarantees loans made by banks	Provide additional security for commercial lenders that finance wastewater, storm drainage, and solid waste systems	Banks and other commercial lenders are eligible applicants Cities, Towns, Public bodies, census designated place, with populations less than 10,000	NEPA/ CEQA	Funds may be for costs associated with planning, design, and construction of new or existing systems Eligible projects include treatment, collection, and storm drainage	Facilities not modest in size, design, and cost For profit systems	None	Negotiated between business and lender Fixed and variable rates allowed Continuous filing	Dave Hartwell USDA State Office (530) 792-5817
Webpage: http://www.rurdev.usda.gov/ca										
Rural Energy for America Program	USDA – Rural Development	Grants and loan guarantees	To provide assistance for energy audits and renewable energy development	Must be either an agriculture producer or rural small business. Units of state, tribal or local government; land-grant colleges, universities.	NEPA	Commercially available technologies. Limited to: Bio-energy from Biomass, including anaerobic digester; Geothermal; elect generation; Hydrogen, Solar, Photovoltaic and Thermal; Wind: Micro-hydro; Ocean; E85 and Biodiesel Blender Pumps.	Research and Development, demonstration projects, provision of power to residents.	Renewable Energy Systems (RES) and Energy Efficiency Improvement (EEI) and feasibility study grants require 75% match. Loan Guarantee between 60 and 80% of loan. Application for Loan Guarantees up to $25 million. Feasibility Study grants up to $50,000. EA/REDA grants max$100,000.	RES, EEI and feasibility study grants require 75% match. Loan Guarantee between 60 and 80% of loan.	Steven Nichols (530) 792-5805
Webpage: http://www.rurdev.usda.gov/BCP_ReapEaReda.html										

ROADWAY PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Infrastructure State Revolving Fund (ISRF) Program	California Infrastructure and Economic Development Bank (I-Bank)	Loan	Provide financing for public infrastructure projects such as city streets, county and state highways	Applicant must be a local municipal entity Project must meet tax-exempt financing criteria Project must promote economic development and attract, create, and sustain long-term employment opportunities	CEQA	Construct or repair public roadway Acquire land	Privately owned facilities Debt refinancing	$10 million maximum per project per fiscal year $20 million annual maximum per jurisdiction per fiscal year	Interest rate is 67% of Thompson's Municipal Market Index for 'A' rated security Maximum 30 year term Open application process Preliminary Application available at ibank.ca.gov	Carlos Nakata (916) 322-5217
Webpage: http://ibank.ca.gov/infrastructure_loans.htm										
Community Development Block Grant (CDBG) Program	State Department of Housing and Community Development	Grants to City and County Jurisdictions	Project must "principally" benefit low income persons/households For example: Install new roads or curbs, gutters, and sidewalk, or new street drainage system for residents of a community with over 51% of its residents being low income.	Cities or counties that are not under HUD's CDBG entitlement program	NEPA/ CEQA	Pay for project feasibility study, final plans and specs, site acquisition and construction, and grant administration costs Must be rehabilitation or a new road and can include installing street lights, landscaping, and sidewalks Assist families to install sidewalks in front of their home	Maintenance costs	Each CDBG Allocation sets funding award limits in Their annual NOFA (Typically $1,500,000) Six Types of Activities: 1-Community Development, 2-Economic Development Enterprise Fund, 3-Economic Development Over the Counter, 4- Native American, 5-Colonia and 6-Planning and Technical Assistance	Notices of Funding Availability (NOFAs) scheduled for release January each year. Jurisdiction sets type of financing and terms (grants vs. loans)	Steven Marshall (916) 263-1338 steven.marshall @hcd.ca.gov
Webpage: http://www.hcd.ca.gov/fa/cdbg/index.html										
Community Facility (CF) Direct Loan	USDA Rural Development	Loan	Finance new construction or repair	Cities, Towns, Nonprofits and Unincorporated areas with less than 20,000 Population	NEPA	Construct or repair public roadways	Private roads	$20 million per project per year	Loans: 4-5% 40 year maximum term Continuous filing	Anita Lopez (530) 792-5822

COMMUNITY FACILITY PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Infrastructure State Revolving Fund (ISRF) Program	California Infrastructure and Economic Development Bank (I-Bank)	Loan	Provide financing for public infrastructure projects such as educational facilities (libraries, child care, day care and employment training facilities), parks and recreational facilities and public safety facilities	Applicant must be a local municipal entity Project must meet tax-exempt financing criteria Project must promote economic development and attract, create, and sustain long-term employment opportunities	CEQA	Acquire land, construct facility or acquire facility	Privately owned facilities Debt refinancing	$2 million maximum per project for educational facilities and parks and recreation facilities per fiscal year $10 million maximum per project for public safety facilities per fiscal year $20 million maximum per jurisdiction per fiscal year	Interest rate is 67% of Thompson's Municipal Market Index for 'A' rated security Maximum 30 year term Open application process Preliminary Application available at ibank.ca.gov	Carlos Nakata (916) 322-5217
Webpage: http://ibank.ca.gov/infrastructure_loans.htm										
Community Development Block Grant (CDBG) Program	State Department of Housing and Community Development	Grants to City and County Jurisdictions	Project must "principally" benefit low income persons/households For example: create a facility for homeless services or a healthcare facility in a community where at least 51% of residents are low income; or create/expand a public facility that creates jobs for low income persons	Cities or counties that are not under HUD's CDBG entitlement program Jurisdictions can pay for their own community facilities or give the funds to other government agencies or private or non profit agencies	NEPA/ CEQA	Pay for project feasibility study, final plans and specs, site acquisition and construction costs (new construction or rehabilitation costs), and grant administration. Types of facilities: fire and police stations, homeless and battered family shelters, day care centers for seniors or kids, social service and health care facilities, teen centers, job training and business incubators.	Refinancing existing debt Buildings for general use by local government To be eligible, Public Facilities must provide HUD-eligible Public Services. Contact CDBG for additional information.	Each CDBG Allocation sets funding award limits in their annual NOFA (Typically up to $1,500,000) Six Types of Activities: 1-Community Development, 2-Economic Development Enterprise Fund, 3-Economic Development Over the Counter, 4- Native American, 5-Colonia and 6-Planning and Technical Assistance	Notices of Funding Availability (NOFAs) released each year, scheduled for every January. Jurisdiction sets type of financing and terms (grants vs. loans)	Steven Marshall (916) 263-1338 steven.marshall @hcd.ca.gov
Webpage: http://www.hcd.ca.gov/fa/cdbg/index.html										

COMMUNITY FACILITY PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Community Facility (CF) Guarantee	USDA Rural Development	Loan Guarantee	Offer loan Guarantees To Lenders to help Build community facilities	Banks and other commercial lenders make loans to nonprofits & tribes in Communities with < 20,000 in population	NEPA	The loans guaranteed can be used for real estate and equipment (e.g. clinics, child care, fire stations, public buildings)	Golf Courses	$100,000 - $20 million	Negotiated between borrower and lender Fixed and variable rates Continuous filing	Pete Yribarren South (559) 734-8732 x108 Anita Lopez North (530)792-5822
Community Facility (CF) Direct Loan	USDA Rural Development	Loan	Develop essential community facilities	In Cities and Towns of <20,000 in population to public bodies, non profits and tribes	NEPA	The loans can be used for real estate and equipment (e.g. clinics, child care, fire stations, public buildings)	Recreation	$100,000 - $20 million	Loans: 3.5-4.5% (rates subject to change) 40 years maximum term Continuous filing	Pete Yribarren South (559) 734-8732 x108 Anita Lopez North (530)792-5822
Community Facility (CF) Grant	USDA Rural Development	Grant	To help communities that cannot qualify for a CF loan	In Cities and Towns of <20,000 in population to public bodies, non profits and tribes	NEPA	The grants can be used for real estate and equipment (e.g. clinics, child care, fire stations, public buildings)	Recreation; feasibility studies, operating expenses	Average $30,000	Continuous filing	Pete Yribarren South (559) 734-8732x108 Anita Lopez North (530)792-5822

OTHER INFRASTRUCTURE PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Infrastructure State Revolving Fund (ISRF) Program	California Infrastructure and Economic Development Bank (I-Bank)	Loan	Provide financing for public infrastructure projects such as: • environmental mitigation • port facilities • power and communications transmission or distribution facilities • public transit • solid waste collection and disposal • defense conversion • military infrastructure	Applicant must be a local municipal entity Project must meet tax-exempt financing criteria Project must promote economic development and attract, create, and sustain long-term employment opportunities	CEQA	Construct or modify: • public infrastructure, purchase and install pollution control or noise abatement equipment • docks, harbors, piers, marinas • transmission or distribution of electrical energy, natural gas, and telecommunication • air and rail transport of goods, including parking facilities • transfer stations, recycling centers, sanitary landfills, waste conversion facilities • facilities for successfully converting military bases • facilities on or near a military installation that enhance military operations • acquire land Project must meet tax-exempt financing criteria	Privately owned facilities Debt refinancing	$2 million maximum per environmental mitigation project per fiscal year $10 million maximum per project for all other purposes per fiscal year $20 million maximum per jurisdiction per fiscal year	Interest rate is 67% of Thompson's Municipal Market Index for 'A' rated security Maximum 30 year term Open application process Preliminary Application available at ibank.ca.gov	Carlos Nakata (916) 322-5217

Webpage: http://ibank.ca.gov/infrastructure_loans.htm

OTHER INFRASTRUCTURE PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/ NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Community Development Block Grant (CDBG) Program	State Department of Housing and Community Development	Grants to City and County Jurisdictions	Project must "principally" benefit low income persons/households For example: create or improve a park in a community where at least 51% of residents are low income. Upgrade public access to a facility for ADA compliance	Cities or counties that are not under HUD's CDBG entitlement program Jurisdictions can fund their own facilities or pass funds through to special districts or agencies	NEPA/ CEQA	Pay for project feasibility study, final plans and specs, site acquisition and construction, and grant administration costs of parks Installation of ADA improvements in public facilities	Maintenance costs Refinancing existing debt Building of general use by local government	Each CDBG Allocation sets funding award limits in Their annual NOFA (Typically $1,500,000) Six Types of Activities: 1-Community Development, 2-Economic Development Enterprise Fund, 3-Economic Development Over the Counter, 4- Native American, 5-Colonia and 6-Planning and Technical Assistance	Notices of Funding Availability (NOFAs) scheduled for release January each year. Jurisdiction sets type of financing and terms (grants vs. loans)	Steven Marshall (916) 263-1338 steven.marshall @hcd.ca.gov
Webpage: http://www.hcd.ca.gov/fa/cdbg/index.html										

CFCC Workshop Notes:

CALIFORNIA FINANCING COORDINATING COMMITTEE (CFCC)

COMMON FUNDING INQUIRY FORM

Instructions: *An electronic copy of this form can be obtained at: www.cfcc.ca.gov*

Please provide the information below and e-mail the completed form to: ibank@ibank.ca.gov

If completing a hard copy of this form, attach responses where applicable and fax to (916) 322-6314.

Name of Applicant or Official System Name: | **County**:

Check the box that best describes the applicant's organization:

☐ Municipal entity ☐ Private entity, for profit ☐ Private entity, nonprofit

Project OR problem description. Describe the problem or the need for the project, the purpose of the project, the basic design features of the project and what the project will accomplish. (Attach documentation, if available)

_____

Estimated Project Schedule. Provide a timeline that illustrates the estimated start and completion dates for each major phase or milestone of project development, construction and/or acquisition (including, for example, feasibility study, land acquisition, preliminary engineering, environmental review, final design and construction commencement and completion).

_____

Financing is needed for (check all that apply):

☐ Feasibility Study ☐ Rate Study ☐ Engineering/Architectural
☐ Land Acquisition ☐ Project Construction and Administration
☐ Other, specify:_____

Estimated Total Project Costs $ **Estimated amount of funding requested** $

Multiple funding sources anticipated: ☐Yes ☐ No

For water/sewer projects only:

System ID No.: _____

Service Area Population: _____
Number of Service Connections: _____
Estimated Median Household Income of service area: $_____

How did you hear about the California Financing Coordinating Committee?

_____

All correspondence regarding this inquiry will be sent to the individual named below. You will receive a written acknowledgement of the receipt of this inquiry form and be contacted by staff of the appropriate CFCC member agencies to pursue additional assistance.

Printed Name of Inquirer Title

Mailing Address (street) City/State Zip code

( ) ( )
Phone Number FAX Number e-mail

For CFCC Use Only: ***Date of Referral to CFCC Member Agencies:*** ***Date Responded to Applicant Inquiry:***

CFCC 02/09/2012

CFCC Workshop Notes:

2013 ACRONYMS AND ABBREVIATIONS

1940-1 – Documentation used to set aside funds for a project
306C – Colonias Grant Program (for projects near the Mexican border)
306C – Tribal Grant (for federally recognized tribes)
501(c)(3*)* – Nonprofit entity meeting certain Internal Revenue Service tax requirements

AB – Assembly Bill
AB 32 – California Assembly Bill 32 that is major piece of climate change legislation
AF – Acre Feet
AWT – Advanced Water Treatment
ACS – American Community Survey

CALFED – CALFED Bay-Delta Program; 25 state and federal agencies comprise CALFED
CalWep – California Water and Energy Program
CARE – Community Action for a Renewed Environment (USEPA)
Cat Ex – Categorical Exclusion
CBI – Clean Beaches Initiative
CDBG – Community Development Block Grant
CDPH – California Department of Public Health
CEQA – California Environmental Quality Act
CF – Community Facilities
CFCC – California Financing Coordinating Committee
CIEDB – California Infrastructure and Economic Development Bank (I-Bank)
CVP – Central Valley Project
CPL – Competitive Project List
CWSRF – Clean Water State Revolving Fund (State Water Board)

DAC – Disadvantaged Community
DBE – Disadvantaged Business Enterprises
DFA – Division of Financial Assistance
DRIP – Desalination Research Innovation Partnership
DSCR – Debt Service Coverage Ratio
DWR – Department of Water Resources

EA – Environmental Assessment
ED – Economic Development
EEF – Economic Enterprise Fund (CDBG)
EF – Enterprise Fund
EIS/EIR – Environmental Impact Statement/Environmental Impact Report
EPA – Environmental Protection Agency
ER – Environmental Report
ERU – Environmental Review Unit

FAAST – Financial Assistance Application Submittal Tool (State Water Board)
FOA – Funding Opportunity Announcement
FY – Fiscal Year

GHG – Greenhouse Gas Emissions
G/NA – General Program/Native American Allocation (CDBG)

ACRONYMS (cont.)

GO – General Obligation (Bonds)

HCD – State of California, Department of Housing and Community Development
HUD – United States Department of Housing and Urban Development

I-Bank – California Infrastructure and Economic Development Bank
IDB – Industrial Development Bond
IRWM – Integrated Regional Water Management
ISRF – Infrastructure State Revolving Fund (I-Bank)
IUP – Intended Use Plan

kWh – Kilowatt Hours

LGA – Local Groundwater Assistance
LOC – Letter of Commitment
LTIG – Low Target Income Group (50% of the County's median income)

MADS – Maximum Annual Debt Service
MCL – Maximum Contaminant Level
MHI – Median Household Income

NEPA – National Environmental Policy Act
NOAA – Notice of Acceptance of Application (funding offer)
NOFA – Notice of Funding Availability
NPDES – National Pollutant Discharge Elimination System
NPS – Nonpoint Source
NRCS – Natural Resources Conservation Service

O&M – Operations and Maintenance
OM&R – Operations, Maintenance, and Replacement
OTC – Over-the-Counter (CDBG Economic Development Grant)
OWR – Office of Water Recycling

PCA – Potential Contaminating Area
PER – Preliminary Engineering Report
PF – Principal Forgiveness
PI – Program Income
PL – Public Law
PPL – Project Priority List
PROP – Proposition
PSP – Proposal Solicitation Package
PTA – Planning/Technical Assistance Grant (CDBG)
PUC – Public Utilities Commission

RBS – Rural Business Service (USDA)
RD – Rural Development (USDA)
R&D – Research and Development

RHS – Rural Housing Service (USDA)
RO – Reverse Osmosis
RRA – Reclamation Reform Act of 1982 (USBR)
RUS – Rural Utilities Service (USDA)

SB – Senate Bill
SCADA – Supervisory Control and Data Acquisition
SCG – Small Community Grant (State Water Board)
SDAC – Small Disadvantaged Community (State Water Board)
SDWSRF – Safe Drinking Water State Revolving Fund (CDPH)
SF – Standard Form (USDA)
SI – Sustainable Infrastructure
SOR – System Optimization Review
SWGP – Stormwater Grant Program
SWP – State Water Project
SWPP – Source Water Protection Program
State Water Board – State Water Resources Control Board

TA – Technical Assistance
TCR – Target Consumer Rate
TIG – Target Income Group (80% of County median income)
TMF – Technical, Managerial, and Financial Capacity

UCC – Uniform Commercial Code
USBR – United States Bureau of Reclamation
USDA – United States Department of Agriculture
USEPA – United States Environmental Protection Agency
USTCF – Underground Storage Tank Cleanup Fund

WDR – Waste Discharge Requirements
WEP – Water and Environmental Programs
WRFP – Water Recycling Funding Program
WUE – Water Use Efficiency
WWD – Water and Waste Disposal Loan and Grant Program
W/WW – Water and Wastewater

2013
CFCC Funding Fairs

Please join the California Financing Coordinating Committee (CFCC) for this **no-cost** event.

CFCC agencies fund the following types of eligible infrastructure projects including:

- Drinking water
- Wastewater
- Water quality
- Water supply
- Water conservation
- Solid waste
- Energy efficiency
- Flood management
- Streets and highways
- Emergency response vehicles
- Water use efficiency
- Community facilities

AGENDA

At each location, the Funding Fair Agenda is as follows:

Check in: 8 a.m.-8:30 a.m.

Agency Presentations: 8:30 a.m.-Noon

Discuss your projects: Noon-3 p.m.

ATTENDEE REGISTRATION

Go to www.cfcc.ca.gov and click on **Funding Fairs**

Funding Fair Questions?

Please call (916) 447-9832 x 1029

2013 SCHEDULE

August 22, 2013
Ukiah Valley Conference Center
200 South School Street
Ukiah, CA 95482

September 12, 2013
Salinas Community Center
940 N. Main St
Salinas, CA 93906

September 24, 2013
Visalia Convention Center
303 E. Acequia Avenue
Visalia, CA 93291

September 26, 2013
Cathedral City, City Hall
68-700 Avenida Lalo Guerrero
Cathedral City, CA 92234

October 15, 2013
Cal/EPA Headquarters
Coastal Hearing Room
1001 "I" Street
Sacramento, CA 95814
The Sacramento workshop will be webcast. Access to the webcast will be available online at: http://www.calepa.ca.gov/broadcast.

For more information, please visit our web site at: www.cfcc.ca.gov

California Financing Coordinating Committee

2013 Funding Fair Partners

CFCC is pleased to acknowledge and thank the Rural Community Assistance Corporation (RCAC) for providing refreshments at the 2013 Funding Fairs in West Sacramento, Ukiah, Salinas, Visalia, Cathedral City and Sacramento; and for handling the 2013 Funding Fair registration.

Acknowledgement and thanks also go to the following 2013 Funding Fair site providers:

- *West Sacramento – West Sacramento, City Hall*
- *Ukiah – Ukiah Valley Conference Center*
- *Salinas – Salinas Community Center*
- *Visalia – Visalia Convention Center*
- *Cathedral City – Cathedral City, City Hall*
- *Sacramento – California Environmental Protection Agency Headquarters*